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Letter to the President

Dear Mr. President,

I am pleased to present you with the White House Task Force on Childhood Obesity’s action plan for solving the problem of childhood obesity in a generation.

Parents across America are deeply concerned about their children’s health and the epidemic of childhood obesity. One out of every three children is now overweight or obese, a condition that places them at greater risk of developing diabetes, heart disease, and cancer over the course of their lives. This is not the future we want for our children, and it is a burden our health care system cannot bear. Nearly $150 billion per year is now being spent to treat obesity-related medical conditions.

Fortunately, there are clear, concrete steps we can take as a society to help our children reach adulthood at a healthy weight. As you requested in the Memorandum you signed on February 9, our new interagency Task Force on Childhood Obesity has spent the past 90 days carefully reviewing the research, and consulting experts as well as the broader public, to produce a set of recommended actions that, taken together, will put our country on track to solving the problem of childhood obesity.

We heard from a broad array of Americans, and received more than 2,500 public comments with specific and creative suggestions. Twelve Federal agencies participated actively in the Task Force, and provided their ideas and expertise. They include the Departments of Agriculture, Defense, Education, Health and Human Services, Housing and Urban Development, Interior, Justice, and Transportation, as well as the Corporation for National and Community Service, the Environmental Protection Agency, the Federal Communications Commission, and the Federal Trade Commission.

Our recommendations focus on the four priority areas set forth in the Memorandum, which also form the pillars of the First Lady’s Let’s Move! campaign: (1) empowering parents and caregivers; (2) providing healthy food in schools; (3) improving access to healthy, affordable foods; and (4) increasing physical activity. In addition, we have included a set of recommendations for actions that can be taken very early in a child’s life, when the risk of obesity first emerges.

We cannot succeed in this effort alone. Our recommendations are not simply for Federal action, but also for how the private sector, state and local leaders, and parents themselves can help improve the health of our children. The Task Force will move quickly to develop a strategy for implementing this plan, working in partnership with the First Lady to engage stakeholders across society. Indeed, many Americans — including leaders in the public and private sectors — have already volunteered to join this effort, and are ready and waiting to put this plan in action.

Sincerely,

Melody Barnes
Chair, Task Force on Childhood Obesity, and Director, Domestic Policy Council
The Challenge We Face

The childhood obesity epidemic in America is a national health crisis. One in every three children (31.7%) ages 2-19 is overweight or obese. The life-threatening consequences of this epidemic create a compelling and critical call for action that cannot be ignored. Obesity is estimated to cause 112,000 deaths per year in the United States, and one third of all children born in the year 2000 are expected to develop diabetes during their lifetime. The current generation may even be on track to have a shorter lifespan than their parents.

Along with the effects on our children’s health, childhood obesity imposes substantial economic costs. Each year, obese adults incur an estimated $1,429 more in medical expenses than their normal-weight peers. Overall, medical spending on adults that was attributed to obesity topped approximately $40 billion in 1998, and by 2008, increased to an estimated $147 billion. Excess weight is also costly during childhood, estimated at $3 billion per year in direct medical costs.

Childhood obesity also creates potential implications for military readiness. More than one quarter of all Americans ages 17-24 are unqualified for military service because they are too heavy. As one military leader noted recently, “We have an obesity crisis in the country. There’s no question about it. These are the same young people we depend on to serve in times of need and ultimately protect this nation.”

While these statistics are striking, there is much reason to be hopeful. There is considerable knowledge about the risk factors associated with childhood obesity. Research and scientific information on the causes and consequences of childhood obesity form the platform on which to build our national policies and partner with the private sector to end the childhood obesity epidemic. Effective policies and tools to guide healthy eating and active living are within our grasp. This report will focus and expand on what we can do together to:

1. create a healthy start on life for our children, from pregnancy through early childhood;
2. empower parents and caregivers to make healthy choices for their families;
3. serve healthier food in schools;
4. ensure access to healthy, affordable food; and
5. increase opportunities for physical activity.

What is Obesity?

Obesity is defined as excess body fat. Because body fat is difficult to measure directly, obesity is often measured by body mass index (BMI), a common scientific way to screen for whether a person is underweight, normal weight, overweight, or obese. BMI adjusts weight for height, and while it is not a perfect indicator of obesity, it is a valuable tool for public health.

Adults with a BMI between 25.0 and 29.9 are considered overweight, those with a BMI of 30 or more are considered obese, and those with a BMI of 40 or more are considered extremely obese. For children and adolescents, these BMI categories are further divided by sex and age because of the changes that occur
during growth and development. Growth charts from the Centers for Disease Control and Prevention (CDC) are used to calculate children’s BMI. Children and adolescents with a BMI between the 85th and 94th percentiles are generally considered overweight, and those with a BMI at or above the sex-and age-specific 95th percentile of population on this growth chart are typically considered obese.

Determining what is a healthy weight for children is challenging, even with precise measures. BMI is often used as a screening tool, since a BMI in the overweight or obese range often, but not always, indicates that a child is at increased risk for health problems. A clinical assessment and other indicators must also be considered when evaluating a child’s overall health and development.\textsuperscript{13}

**Who Does Obesity Impact? Prevalence and Trends**

By gaining a deeper understanding of individuals who are impacted by obesity, we can better shape policies to combat it. Since 1980, obesity has become dramatically more common among Americans of all ages. Prevalence estimates of obesity in the U.S. are derived from the National Health and Nutrition Examination Survey (NHANES), conducted by the National Center for Health Statistics of the CDC. Between the survey periods 1976–80 and 2007–08, obesity has more than doubled among adults (rising from 15% to 34%), and more than tripled among children and adolescents (rising from 5% to 17%).\textsuperscript{14}

The rapid increase in childhood obesity in the 1980s and 1990s has slowed, with no significant increase in recent years.\textsuperscript{15} However, among boys ages 6–19, very high BMI (at or above the 97th percentile) became more common between 1999–2000 and 2007–08; about 15% of boys in this age group are in this category.\textsuperscript{16}
Race/Ethnic Disparities

Childhood obesity is more common among certain racial and ethnic groups than others. Obesity rates are highest among non-Hispanic black girls and Hispanic boys. Obesity is particularly common among American Indian/Native Alaskan children. A study of four year-olds found that obesity was more than two times more common among American Indian/Native Alaskan children (31%) than among white (16%) or Asian (13%) children. This rate was higher than any other racial or ethnic group studied. 17

Socioeconomic Disparities

Among adults, obesity rates are sometimes associated with lower incomes, particularly among women. Women with higher incomes tend to have lower BMI, and the opposite is true, those with higher BMI have lower incomes. 18 A study in the early 2000s found that about 38% of non-Hispanic white women who qualified for the Supplemental Nutrition Assistance Program (known then as food stamps), were obese, and about 26% of those above 350% of the poverty line were obese. 19 Also, a recent study of American adults found lower rates of obesity among individuals with more education. Specifically, the study found that nearly 35% of adults with less than a high school degree were obese, compared to 21% of those with a bachelor’s degree or higher. 20

The relationship between income and obesity in children is less consistent than among adult women, 21 and sometimes even points in the opposite direction. Another study from the early 2000s found that only among white girls were higher incomes associated with lower BMI. Among African-American girls, the prevalence of obesity actually increased with higher socioeconomic status, suggesting that efforts to reduce ethnic disparities in obesity must target factors other than income and education, such as environmental, social, and cultural factors. 22
Regional Disparities

Across the country, the prevalence of obesity has been found to be highest in southeast states such as Alabama, Mississippi, South Carolina, Tennessee, and West Virginia, as well as in Oklahoma. It is lowest in Colorado. Another study showed obesity was most common among adults in the Midwest and the South, as well as among adults who did not live in metropolitan areas.

How Does Obesity Impact Our Health?

Obese adults have an increased risk for many diseases, including type 2 diabetes, heart disease, some forms of arthritis, and several cancers. Overweight and obese children are more likely to become obese adults. Specifically, one study found that obese 6-8 year-olds were approximately ten times more likely to become obese adults than those with lower BMIs. The association may be stronger for obese adolescents than younger children. Obese children are also more likely to have increased risk of heart disease. One study found that approximately 70% of obese children had high levels (greater than 90th percentile) of at least one key risk factor for heart disease, and approximately 30% had high levels of at least two risk factors. There is evidence that heart disease develops in early childhood and is exacerbated by obesity, and people as young as 21 have been found to display early physical signs of heart disease due to obesity. Obese children are also more likely to develop asthma.

Obesity is the most significant risk factor for type 2 diabetes, a disease once called “adult onset diabetes” because it occurred almost exclusively in adults until childhood obesity started to rise substantially. The number of hospitalizations for type 2 diabetes among Americans in their 20s has gone up substantially, for example. A 2001 study found that more than 75% of children ages 10 and over with type 2 diabetes were obese. Type 2 diabetes occurs more frequently among some racial and ethnic minority groups, and rates among American Indians are particularly high.

In addition to the physical health consequences, severely obese children report a lower health-related quality of life (a measure of their physical, emotional, educational, and social well-being). In fact, one study found that they have a similar quality of life as children diagnosed with cancer. Childhood obesity is a highly stigmatized condition, often associated with low self-esteem, and obese children are more likely than non-obese children to feel sad, lonely, and nervous. Obesity during childhood is also associated with some psychiatric disorders, including depression and binge-eating disorder, which may both contribute to and be adversely impacted by obesity.

What Causes Obesity?

Early Life

A child’s risk of becoming obese may even begin before birth. Pregnant women who use tobacco, gain excessive weight, or have diabetes give birth to children who have an increased risk of being obese during their preschool years. Furthermore, although the evidence is not conclusive, rapid weight gain in early infancy has been shown to predict obesity later in life. Racial and ethnic differences in obesity may also be partly explained by differences in risk factors during the prenatal period and early life.
Studies show that early influences can affect obesity rates. The increased occurrence of obesity among children of obese parents suggests a genetic component. Multiple twin and adoption studies also indicate a strong genetic component to obesity. However, genes associated with obesity were present in the population prior to the current epidemic; genes only account for susceptibility to obesity and generally contribute to obesity only when other influences are at work. Genetic susceptibility to obesity is significantly shaped by the environment. In addition to genetic factors, recent research has focused on other factors, such as maternal nutrition, environmental toxins, and the prenatal environment, which may shape later risk for childhood obesity.

Environmental Factors During Childhood

There have been major changes in Americans’ lifestyles over the last 30 years, as childhood obesity rates have been rising. This includes what and where we eat. Given the pace of modern life, Americans now consume more fast-food and sugar-sweetened beverages, eat outside the home more frequently, and spend less time enjoying family meals. In addition, prepared and processed food is easily accessible and inexpensive. These items are also heavily promoted, as evidenced in a Federal Trade Commission (FTC) report revealing that at least $1.6 billion is spent annually on food advertising directed to children and adolescents. All this adds up to poor eating habits. For example, 13% of the daily caloric intake for 12-19 year-olds now comes from sugar-sweetened beverages.

At the same time, adults and children alike are getting less physical activity. Some schools have cut back on activities like physical education and recess, in part due to budget pressures at the state and local level. And children are increasingly driven to school by car or bus, rather than walking or biking. In part, these shifts in transportation reflect changes in community design. Physical activity is higher in more “connected” communities that provide safe and reliable access to public transportation, as well as other forms of active transport like biking and walking.

Meanwhile, “screen time” has increased, including television viewing, which is directly associated with childhood and adult obesity. Among children, watching television or time spent on computers or gaming systems takes away from engaging in physical activity like organized sports or informal playing. It also has a more harmful effect on healthy eating habits; as children watch television, they are more likely to snack, including on the foods advertised. In addition, screen time has been associated with children getting less and poorer quality sleep, and insufficient sleep has been linked to a heightened risk of obesity.

What Can We Do?

While additional studies to identify the precise causes of obesity will be useful, we do not need to wait to identify specific actions that we can take as a society to prevent obesity. There are many examples of effective therapies for diseases whose cause has not been fully identified. For example, remission rates of acute lymphocytic leukemia in children have been dramatically improved over the last 20 years, although the causes of the disease remain uncertain.

No single action alone will reverse the childhood obesity epidemic, although there is no question that improving eating habits and increasing physical activity are two critical strategies. As with tobacco prevention and control, comprehensive, multi-sectoral approaches are needed to address the many
behavioral risk factors associated with obesity.\textsuperscript{56} These risk factors fall into three general categories: (1) material incentives, such as the cost of food or the desire to avoid poor health; (2) social norms, such as the nutritional and physical activity habits of friends and family, which influence us greatly; and (3) the broader environment, such as whether grocery stores and playgrounds are nearby or far away. Changes in each of these risk factors are possible. For example, with sound information, parents and caregivers will be able to seek out the most nutritious foods to improve their children’s health; changes in social norms can be brought about through movements such as the successful seatbelt buckling campaigns of the late 20th century; and changes can be made in the broader environment by eliminating “food deserts” or “playground deserts.”

In many parts of the country, we already have a head start, and initiatives that are already underway will provide instructive lessons. Comprehensive, community-wide efforts to reduce obesity have recently been initiated by both the public and private sectors. The American Recovery and Reinvestment Act of 2009 included $1 billion in funding for prevention and wellness investments, more than half of which was directed to prevention strategies to reduce tobacco use and obesity rates. Specifically, $373 million supported direct community-based interventions and $120 million supported state-based efforts in all 50 states and 25 communities in urban, rural, and tribal areas. Funds to support comprehensive strategies were awarded to states in February and to communities in March. The recently-enacted Patient Protection and Affordable Care Act, as amended by the Health Care and Education Affordability Reconciliation Act (collectively referred to as the “Affordable Care Act”) provides for additional investments in chronic disease and improving public health, which could include community-based prevention strategies. In addition, the philanthropic sector has been leading the way with stepped-up, focused investments. For example, the Robert Wood Johnson Foundation has created a “Healthy Kids, Healthy Communities” initiative that is funding 50 communities to implement strategies to prevent childhood obesity,\textsuperscript{57} and the California Endowment recently launched a large-scale “Building Healthy Communities” project in 14 communities that will include a focus on childhood obesity prevention.\textsuperscript{58}

Reducing childhood obesity does not have to be a costly endeavor, however. And indeed, in many communities it simply cannot be. Times are tough, and federal, state, local, and family budgets are all feeling squeezed. But a great deal can be accomplished without significant expenditures, and some steps may ultimately save money.\textsuperscript{59} While many of the recommendations in this report will require additional public resources, creative strategies can also be used to redirect resources or make more effective use of existing investments.

In total, this report presents a series of 70 specific recommendations, many of which can be implemented right away. Summarizing them broadly, they include:

- **Getting children a healthy start on life**, with good prenatal care for their parents; support for breastfeeding; adherence to limits on “screen time”; and quality child care settings with nutritious food and ample opportunity for young children to be physically active.

- **Empowering parents and caregivers** with simpler, more actionable messages about nutritional choices based on the latest *Dietary Guidelines for Americans*; improved labels on food and menus that provide clear information to help make healthy choices for children; reduced marketing of unhealthy products to children; and improved health care services, including BMI measurement for all children.
• **Providing healthy food in schools**, through improvements in federally-supported school lunches and breakfasts; upgrading the nutritional quality of other foods sold in schools; and improving nutrition education and the overall school environment.

• **Improving access to healthy, affordable food**, by eliminating “food deserts” in urban and rural America; lowering the relative prices of healthier foods; developing or reformulating food products to be healthier; and reducing the incidence of hunger, which has been linked to obesity.

• **Getting children more physically active**, through quality physical education, recess, and other opportunities in and after school; addressing aspects of the “built environment” that make it difficult for children to walk or bike safely in their communities; and improving access to safe parks, playgrounds, and indoor and outdoor recreational facilities.

Many of these recommendations are for activities to be undertaken by federal agencies. All such activities are subject to budgetary constraints, including the weighing of priorities and available resources by the Administration in formulating its annual budget and by Congress in legislating appropriations.

**How Will We Know We Have Succeeded?**

Our goal is to solve the problem of childhood obesity in a generation. Achieving that goal will mean returning to the expected levels in the population, before this epidemic began. That means **returning to a childhood obesity rate of just 5% by 2030**. Achieving this goal will require “bending the curve” fairly quickly, so that by 2015, there will be a 2.5% reduction in each of the current rates of overweight and obese children, and by 2020, a 5% reduction. Our progress can be charted through the CDC’s annual National Health and Nutrition Examination Survey (NHANES), which is aggregated every two years.

![Bending the Curve: Childhood Obesity, 1972 to 2030](image)

Source: CDC, National Center for Health Statistics, National Health and Nutrition Examination Surveys.

Note: Obesity is defined as BMI ≥ gender- and weight-specific 95th percentile from the 2000 CDC Growth Charts.
In addition to monitoring the overall trends in childhood obesity, two key indicators will show the progress achieved:

1. **The number of children eating a healthy diet**, measured by those who follow the most recent, science-based *Dietary Guidelines for Americans (Dietary Guidelines)*. We can monitor our progress through the U.S. Department of Agriculture's (USDA) Healthy Eating Index (HEI), which reflects the intake of 12 dietary components: total fruit (including juice); whole fruit (not juice); total vegetables; dark green and orange vegetables and legumes; total grains; whole grains; milk products; meat and beans; oils; saturated fat; sodium; and calories from solid fats and added sugars. USDA generally regards a score of at least 80 out of 100 points as reflecting a healthy diet. Currently, the average child scores a 55.9 on the HEI. To achieve a score of 80 for the average child by 2030, the average child should score 65 by 2015, and 70 by 2020. Two indicators should be monitored particularly closely:
   - **Less added sugar in children’s diets.** Children today consume a substantial amount of added sugars through a whole range of products. Using existing data sources, CDC's National Center for Health Statistics can determine how much added sugar children are currently consuming. Targets for reducing added sugar will then need to be established that track the overall goal of driving obesity rates down to 5% by 2030.
   - **More fruits and vegetables.** Currently, children and adolescents consume far lower quantities of fruits and vegetables than recommended in the *Dietary Guidelines*. On average, children consumed only 64% of the recommended level of fruit and 46% of the recommended level of vegetables in 2003-04. Average fruit consumption should increase to 75% of the recommended level by 2015, 85% by 2020, and 100% by 2030; vegetable consumption should increase to 60% of recommended levels by 2015, 75% by 2020, and 100% by 2030.

2. **The number of children meeting current physical activity guidelines.** Right now, the only regular survey that shows whether children are meeting the Physical Activity Guidelines is limited to high school students, and regular data on younger children is not available. Resources will have to be redirected to develop a survey instrument that can provide a full picture of physical activity levels among children of all ages. Once baseline data is available, targets for improving the level of physical activity among children will need to be established that track the overall goal of driving obesity rates down to 5% by 2030.

Additional benchmarks of success, tied to specific recommendations in this report, are included throughout. The Healthy People goals set every decade by experts convened by the U.S. Department of Health and Human Services will provide additional, complementary opportunities to measure our progress in helping children achieve and maintain a healthy weight.

Monitoring our progress and the impact of our interventions, so that we know what is working and what strategies or tactics need to be adjusted, will be critically important. This is not an easy challenge, but it is one that we can solve as a society, and within a generation.
I. Early Childhood

Studies show that approximately one in five children are overweight or obese by the time they reach their 6th birthday, and over half of obese children become overweight at or before age two. Even babies are affected. Between 1980 and 2001, the prevalence of overweight infants under six months almost doubled, from 3.4% to 5.9%. More can and must be done to ensure our youngest children begin life on a healthy path.

This chapter provides recommendations for reducing the risk of obesity in the early years of a child’s life by:

- strengthening prenatal care;
- promoting breastfeeding;
- evaluating the impact of chemical influences in the environment;
- reducing “screen time;” and
- improving the quality of our nation’s child care settings so they can consistently support our children’s healthy development.

A. Prenatal Care

Mothers’ pre-conception weight and weight gain during pregnancy are two of the most important prenatal determinants of childhood obesity. Several factors may influence the association of maternal weight and weight gain during pregnancy with long-term child health outcomes. These factors include maternal and paternal BMI, maternal smoking during pregnancy, blood sugar levels during pregnancy, fetal growth, birth weight, and infant feeding practices.

Higher maternal weight is a risk factor for gestational diabetes or related conditions during pregnancy. Children born to mothers who had diabetes during pregnancy are at higher risk of being overweight and having gestational and type 2 diabetes. In a study of low-income children, there was a association between maternal BMI in the first trimester and the probability of being overweight at 2, 3, and 4 years of age.

Recent findings suggest that very low birth weight and very high birth weight are both associated with childhood obesity. Although the link between very high birth weight and childhood obesity is studied more, the link between low birth weight and obesity may be the result of accelerated growth immediately after birth. Babies who were “deprived of nutrition” before birth may be primed for accelerated growth after birth when exposed to a rich nutrient environment (which often consists of infant formula). This rapid growth in the first few months and even perhaps the first days of postnatal life, are associated with increased risk of children being overweight.
Maternal smoking during early pregnancy is associated with a 500% greater risk of obesity at age 5, and a 260% greater risk at ages 9-10. The duration of smoking while pregnant and number of cigarettes smoked per day are both associated with increases in rates of childhood obesity. Maternal smoking is linked to low intrauterine growth, which can be associated with accelerated postnatal growth and childhood obesity. Notably, the recently-enacted Affordable Care Act requires coverage of counseling and pharmacotherapy for cessation of tobacco use for pregnant women in Medicaid, with no cost-sharing for these services, effective October 1.

To improve children's health, the Surgeon General recommends promoting effective prenatal counseling about: maternal weight gain; breastfeeding; the relationship between obesity and diabetes; and avoiding alcohol, tobacco, and drug use during pregnancy. Recent clinical trials indicate that weight gain can be modified by prenatal counseling. Currently, however, only about 30% of pregnant women receive appropriate counseling and guidance from a medical professional on how to achieve recommended weight goals during pregnancy.

Higher maternal weight gain during pregnancy is also associated with excess maternal weight retained after childbirth. A higher BMI after childbirth can be a health risk for the mother but also sets the stage for a higher pre-pregnancy weight in future pregnancies.

A more complete picture of maternal and child weight is needed to monitor these trends and better inform policymakers and health professionals.

Recommendations

**Recommendation 1.1:** Pregnant women and women planning a pregnancy should be informed of the importance of conceiving at a healthy weight and having a healthy weight gain during pregnancy, based on the relevant recommendations of the Institute of Medicine. Specifically, health care providers, as well as Federal, state, and local agencies, medical societies, and organizations that serve pregnant women or those planning pregnancies should provide information concerning the importance of conceiving at a normal BMI and having a healthy weight gain during pregnancy. Those who provide primary and prenatal care to women should offer them counseling on dietary intake and physical activity that is tailored to their life circumstances. In many cases, conceiving at a normal BMI will require some weight loss.

**Recommendation 1.2:** Education and outreach efforts about prenatal care should be enhanced through creative approaches that take into account the latest in technology and communications. Partners in this effort could include companies that develop technology-based communications tools, as well as companies that market products and services to pregnant women or prospective parents.
Benchmarks of Success

A higher percentage of women conceiving at a normal BMI, and at an appropriate gestational weight gain during pregnancy, based on the Institute of Medicine’s gestational weight guidelines. To measure this, HHS should redirect existing resources to prioritize routine surveillance of weight gain during pregnancy and postpartum weight retention on a nationally representative sample of women and to report the results by pre-pregnancy BMI (including all classes of obesity), age, racial/ethnic group, and socioeconomic status.

Some states also collect maternal and child weight information on birth certificates, and states should be encouraged to work with HHS to ensure that a complete set of data is collected. The 2003 version of the U.S. Standard Certificate of Live Birth includes fields for maternal pre-pregnancy weight, height, weight at delivery, and age at the last measured weight, facilitating improved public health surveillance. By 2007, 24 states adopted this form, representing an estimated 60% of all births. States should strive for 100% completion of fields related to maternal weight and height, as well as share data to provide a full national picture and regional snapshots. HHS should work with the remaining states to encourage adoption of the updated birth certificate form. The President’s FY2011 Budget includes increased resources for all States to have an electronic birth record in 2011.

As an interim step, prenatal counseling rates can be measured as a proxy. The Pregnancy Risk Assessment Monitoring System (PRAMS) is a surveillance project of the CDC and state health departments. PRAMS collects state-specific, population-based data on maternal attitudes and experiences before, during, and shortly after pregnancy, including information on prenatal counseling, cigarette use, alcohol use, breastfeeding, and pre-conception health (including height and weight). PRAMS will be revised to capture prenatal counseling on appropriate weight gain.

B. Breastfeeding

Children who are breastfed are at reduced risk of obesity. Studies have found that the likelihood of obesity is 22% lower among children who were breastfed. The strongest effects were observed among adolescents, meaning that the obesity-reducing benefits of breastfeeding extend many years into a child’s life. Another study determined that the risk of becoming overweight was reduced by 4% for each month of breastfeeding. This effect plateaued after nine months of breastfeeding.

Despite these health benefits, although most (74%) babies start out breastfeeding, within three months, two-thirds (67%) have already received formula or other supplements. By six months of age, only 43% are still breastfeeding at all, and less than one quarter (23%) are breastfed at least 12 months. In addition, there is a disparity between the prevalence of breastfeeding among non-Hispanic black infants and those in other racial or ethnic groups. For instance, a recent CDC study showed a difference of greater than 20 percentage points in 13 states.
The protective effect of breastfeeding likely results from a combination of factors. First, infant formula contains nearly twice as much protein per serving as breast milk. This excess protein may stimulate insulin secretion in an unhealthy way. Second, the biological response to breast milk differs from that of formula. When feeding a baby, the mother’s milk prompts the baby’s liver to release a protein that helps regulate metabolism. Feeding formula instead of breast milk increases the baby’s concentrations of insulin in his or her blood, prolongs insulin response, and, even into childhood, is associated with unfavorable concentrations of leptin, a hormone that inhibits appetite and controls body fatness. Despite the well-known health benefits of breastfeeding and the preference of most pregnant women to breastfeed, numerous barriers make breastfeeding difficult. For first-time mothers, breastfeeding can be challenging, even for those who intend to breastfeed. For those who have less clear intent to breastfeed, cultural, social, or structural challenges can prevent breastfeeding initiation or continuation. For example, immediately after birth, many babies are unnecessarily given formula and separated from their mothers, making it harder to start and practice breastfeeding. Also, hospital staff are often insufficiently trained in breastfeeding support.

The Joint Commission on the Accreditation of Hospitals, the body that accredits hospitals and health care organizations for most State Medicaid and Medicare reimbursement, now expects hospitals to track and improve their rates of exclusive breastfeeding. Hospitals that meet specific criteria for optimal breastfeeding-related maternity care are designated as “Baby Friendly” by Baby-Friendly U.S.A. This non-governmental organization has been named by the U.S. Committee for UNICEF as the designating authority for UNICEF/WHO standards in the United States. Currently only 3% of births in America occur in Baby-Friendly facilities.
While breastfeeding could be far more widespread than it is today, it is not a viable alternative for all mothers and babies. Specific guidance and support options should also be made available for those who cannot breastfeed. Parents and caregivers of babies also may benefit from guidance about when to start feeding them solid foods, since early introduction of solids (prior to six months) increases the risk for childhood obesity.88

**Workplace and Child Care Accommodations**

Research has demonstrated that support is essential for helping mothers establish and continue breastfeeding as they return to work or school and make use of child care services.89 Many women return to work soon after their baby’s birth, yet 75% of employers do not offer accommodations for them to breastfeed or express milk at work.90

Changes are underway, however. Following the lead of states whose laws requiring employers to make accommodations, the recently-enacted Affordable Care Act requires employers to provide a reasonable break time and a place for breastfeeding mothers to express milk for one year after their child’s birth.91 Employers with fewer than 50 employees are not subject to these requirements if compliance would impose an undue hardship. The location cannot be a bathroom, and must be shielded from view and free from intrusion from co-workers and the public. The return on investment of companies that assist breastfeeding employees through appropriate support and accommodations is well-documented. Companies benefit through better employee retention, lower health care costs, and better work attendance.92

Support for breastfeeding in child care settings is important as well. Among women whose infants are cared for outside the home, irrespective of their intent to breastfeed, those who report better support for breastfeeding from early learning settings (such as refrigerated storage for breast milk, a commitment to feed it to the child, or privacy space for on-site breastfeeding) are more likely to breastfeed longer.93

**Support Programs**

In many communities, role models for breastfeeding are rare, and new mothers do not know where to turn for breastfeeding assistance. Volunteer networks of experienced breastfeeding mothers such as the La Leche League provide help for some mothers, but networks like this are not available in many communities. According to the CDC’s annual State Breastfeeding Report Card, there were 34 breastfeeding support groups per 100,000 live births in 2009, which means about one support group for every 3000 new babies. Peer support programs, such as the Peer Counselor program delivered as part of the Special Supplemental Nutrition Program for Women, Infants and Children (WIC), provide counseling skills, training, and support to experienced breastfeeding mothers so they can effectively support new mothers. Recently, federal funds were provided to further expand the availability of peer counseling in local WIC clinics. Prenatal counseling on breastfeeding can also have positive impacts on breastfeeding rates,94 and pre- and postnatal intervention together with peer counseling is most effective.95
Recommendations

Recommendation 1.3: Hospitals and health care providers should use maternity care practices that empower new mothers to breastfeed, such as the Baby-Friendly hospital standards. Hospitals and health care providers should routinely provide evidence-based maternity care that empowers parents to make informed infant feeding decisions as active participants in their care, and improves new mothers’ ability to breastfeed successfully. Examples of specific practices and policies include: skin-to-skin contact between the mother and her baby; teaching mothers how to breastfeed; and early and frequent breastfeeding opportunities.

Hospitals, health care providers, and health insurers should also help ensure that new mothers receive proper information and support on breastfeeding when they are released from the hospital.

Recommendation 1.4: Health care providers and insurance companies should provide information to pregnant women and new mothers on breastfeeding, including the availability of educational classes, and connect pregnant women and new mothers to breastfeeding support programs to help them make an informed infant feeding decision.

Recommendation 1.5: Local health departments and community-based organizations, working with health care providers, insurance companies, and others should develop peer support programs that empower pregnant women and mothers to get the help and support they need from other mothers who have breastfed. Peer support networks should exist in all communities across the country, allowing all new mothers to easily identify and obtain help from trained breastfeeding peer counselors. Community organizations can foster the creation of peer support networks through expansion of programs like the WIC Breastfeeding Peer Counseling program. They can work with local breastfeeding coalitions to ensure existence of other peer support networks, such as La Leche League groups or Nursing Mothers Councils. They can also foster the creation of mother-to-mother support groups in community health centers and advertise these groups, particularly as part of the hospital discharge process.

Early Head Start (EHS) programs that enroll pregnant women, including pregnant teenagers, can also support community breastfeeding networks. EHS can provide home visits and reach out to pregnant and breastfeeding mothers to encourage and support breastfeeding, including by providing professional and peer opportunities to disseminate information and provide on-going support. Funding for evidence-based home visitation programs in the recently-enacted Affordable Care Act will complement this program.

Private companies, including those that market baby products, can also help support and promote these types of community supports for mothers.

Recommendation 1.6: Early childhood settings should support breastfeeding. Child care centers and providers, health care providers, and government agencies should provide accurate information about the storage and handling of breast milk. They should also make sure child care employees and providers know how to store, handle, and feed breast milk, and understand the importance of breastfeeding.
Benchmarks of Success

An increase in breastfeeding rates. Several government sources provide statistics on breastfeeding rates. The most comprehensive source of information is the National Immunization Survey, which provides annual national, state, and selected urban-area estimates of breastfeeding initiation, duration, and exclusivity. In addition to questions on breastfeeding, the survey asks about the introduction of infant formula and other supplementary foods. As noted above, according to the survey, currently 30% of babies age nine months or younger are breastfed. This should increase by 5% every two years, so that by 2015, half of babies are breastfed for at least nine months.

C. Chemical Exposures

In addition to fetal “over-nutrition” or “under-nutrition,” it is possible that developmental exposure to endocrine disrupting chemicals (EDCs) or other chemicals plays a role in the development of diabetes and childhood obesity. Some scientists have coined the term “obesogens” for chemicals that they believe may promote weight gain and obesity. Such chemicals may promote obesity by increasing the number of fat cells, changing the amount of calories burned at rest, altering energy balance, and altering the body’s mechanisms for appetite and satiety. Fetal and infant exposure to such chemicals may result in more weight gain per food consumed and also possibly less weight loss per amount of energy expended. The health effects of these chemicals during fetal and infant development may persist throughout life, long after the exposures occur.97

Research on such chemicals suggests that the origins of obesity may lie not only in well-established risk factors such as diet and exercise, but also in the interplay between genes and the fetal and early postnatal environment. The National Institute of Environmental Health Sciences, the Environmental Protection Agency (EPA), and other research organizations have been working to understand the developmental origins of obesity and other diseases. Their activities have helped reveal the links between environmental chemicals and obesity and diabetes, providing a sufficient base of evidence to warrant future research efforts in this area.

This issue could also be investigated further by the President’s Task Force on Environmental Health Risks and Safety Risks to Children, led by HHS and EPA. An increased understanding of chemical toxicity also adds strength to the existing recommendations for parents to avoid microwaving baby bottles or plastic containers that are not explicitly stated by the manufacturer as safe for use in microwaving.98 Government should work closely with industries to translate this emerging science into programs that supports product reformulation (for example, of plastic containers) as appropriate.

Recommendations

Recommendation 1.7: Federal and State agencies conducting health research should prioritize research into the effects of possibly obesogenic chemicals. As the research becomes clearer, reducing harmful exposures may require outreach to communities and medical providers, and could also entail regulatory action.
Benchmarks of Success

A stronger knowledge of chemical exposures that may be related to obesity. Emerging research will guide the direction of future intervention strategies for which progress metrics can then be developed. The necessary research will control the timeline for at least the first 4-5 years. After that time, while research efforts will continue, there may be sufficient information to develop strategies to eliminate exposures identified as obesogenic.

D. Screen Time

The American Academy of Pediatrics (AAP) recommends that children two years old and under should not be exposed to television, and children over age two should limit daily media exposure to only 1-2 hours of quality programming. In contrast to these recommendations, one study found that 43% of children under age two watch television daily, and 26% have a television in their room.

Preschool aged children are also watching more television than recommended by the AAP. Ninety percent of children ages 4-6 use screen media for an average of two hours per day. Over 40% of children in this age group have a television in their bedroom, a third have a portable DVD player, and a third have a portable handheld video game player. Children from lower income families and children of color spend more time watching television and are more likely to live in a home where it is left on most of the time.

Studies show an association between television viewing and risk of being overweight in preschool children, independent of socio-demographic factors. Specifically, for each additional hour of television viewing, the odds ratio of children having a BMI greater than the 85th percentile was 1.06. Having a television in the bedroom had a stronger association, with an odds ratio of 1.31. One study noted that preschool children who watched television for more than two hours a day were more likely to be overweight than children who watched television two hours or less daily.

Television viewing is also linked to dietary intake. Another study found that television exposure was correlated with fast-food consumption in preschool children, even after adjusting for a variety of socio-demographic and socio-environmental factors.

Recommendations

Recommendation 1.8: The AAP guidelines on screen time should be made more available to parents, and young children should be encouraged to spend less time using digital media and more time being physically active. Health care provider visits and meetings with teachers and early learning providers are an opportunity to give guidance and information to parents and their children.

Recommendation 1.9: The AAP guidelines on screen time should be made more available in early childhood settings. Early childhood settings should be encouraged to adopt standards consistent with AAP recommendations not to expose children two years of age and under to television, as well as to limit media exposure for older children by treating it as a special occasion activity rather than a daily event.
E. Early Care and Education

More than 3.5 million children under age five are cared for in child care centers, and many more are cared for through less formal arrangements while a parent works. Children in child care centers spent an average of 33 hours a week in those settings. Parents and child care providers are sharing the responsibility for a large and growing number of children during important developmental years. Early childhood settings, including both child care centers and informal care, present a tremendous opportunity to prevent obesity by making an impact at a pivotal phase in children's lives.

Physical Activity

Young children need opportunities to be physically active through play and other activities. Physical activity assists children in obtaining and improving fine and gross motor skill development, coordination, balance and control, hand-eye coordination, strength, dexterity, and flexibility—all of which are necessary for children to reach developmental milestones.

Preschool years, in particular, are crucial for obesity prevention due to the timing of the development of fat tissue, which typically occurs from ages 3-7. During these preschool years, children's BMI typically reaches its lowest point and then increases gradually through adolescence and most of adulthood. However, if this BMI increase begins before ages 4 to 6, research has suggested that children face a greater risk of obesity in adulthood.

Features of the child care center environment, including policies regarding activity and provider training, as well as the presence of portable and fixed play equipment, influence the amount of physical activity children engage in while at child care.

Healthy Eating

Eating well is equally important for the healthy development of young children, and research has shown that public programs can improve the nutritional quality of the food consumed in child care settings. Children in early childhood settings who are served by USDA's Child and Adult Care Food Program (CACFP) eat healthier food than children who bring meals and snacks from home. A comparison of meal quality among licensed early learning sites in California found that children eating meals provided in Head Start had the highest meal quality scores, followed by those eating in non-Head Start under CACFP. Meal quality scores were higher among center-based versus home-based facilities.

Many programs have already seized the opportunity to provide healthier foods and have implemented evidence-informed initiatives that encourage healthy eating and fun, developmentally-appropriate physical activity. Still, there is room for improvement. Empirically-based and practice-tested strategies for improving these settings have been identified and provide a basis for the recommendations outlined in this chapter. Through concerted and coordinated effort at the Federal, state, and local levels, today's early learning settings can support healthy weight through the development of good habits for nutrition, physical activity, and screen time.

Each state creates and enforces its own child care licensing standards, as well as other program standards for center-based and family child care homes. Not all child care facilities are required to be licensed in
order to legally operate within a state, but they must meet some basic requirements. A recent review of state child care regulations by researchers at the Duke University School of Medicine, based on ten expert-derived healthy eating model regulations, found that states had an average of 3.7 healthy eating regulations for child care centers and 2.9 for family child care homes. No state had all ten model regulations. States had particularly few regulations relating to physical activity and screen time.\textsuperscript{112}

Workforce qualifications and training requirements for child care providers also vary widely from state to state. Many states are now implementing Professional Development Registries and other methods to better track and document the providers’ training sessions. They are also implementing observation and feedback opportunities to understand if training is being applied in the classroom. To incorporate recommended nutrition, physical activity, and screen standards into their curricula, Federal agencies and states can partner with national organizations such as the National Association of Child Care Resource and Referral Agencies (NACCRRA), the National Association for the Education of Young Children (NAEYC), and the National Head Start Association (NHSA), as well as community colleges and other training providers.

Parents are often unaware of quality elements when choosing child care and early education settings, including the importance of nutrition, physical activity, and screen time limits provided in these settings, and they can find it difficult to get this information. Quality Rating and Improvement Systems (QRISs) are State systems that rate the quality of early child care settings (which can include Pre-K, Head Start, child care, and others) based on a clear, common set of criteria. These rating systems can provide parents with reliable, consistent information that can help them make informed decisions.

### Innovative Early Childhood Programs

There are several evidence-informed initiatives and interventions for early childhood settings to combat childhood obesity, including:

- *I am Moving, I am Learning*, a proactive approach to childhood obesity in preschool classrooms that seeks to increase moderate to vigorous physical activity every day, improve the quality of movement activities intentionally planned and facilitated by adults, and promote healthy food choices. This approach is implemented by Head Start and has been adopted by some other child care programs as well.

- *Nutrition and Physical Activity Self-Assessment for Child Care (NAP SACC)*, an assessment tool for child care settings, which uses an organizational assessment of 14 areas of nutrition and physical activity policy, practices and environments to identify the strengths and limitations of the child care facility. NAP SACC also includes goal setting and action planning, continuing education and skill building for providers.

- *Nemours Program*: Delaware, under the leadership of Nemours, an integrated child health system, launched a statewide, multi-sector program to combat childhood obesity that includes changes in child care licensing to set healthy eating and physical activity standards, along with technical assistance, training and practical toolkits to help providers implement the standards. The new standards apply to all licensed center and family day care providers, impacting 54,000 children.
Recommendations

**Recommendation 1.10:** The Federal government, incorporating input from health care providers and other stakeholders, should provide clear, actionable guidance to states, providers, and families on how to increase physical activity, improve nutrition, and reduce screen time in early child care settings.

**Recommendation 1.11:** States should be encouraged to strengthen licensing standards and Quality Rating and Improvement Systems to support good program practices regarding nutrition, physical activity, and screen time in early education and child care settings.

Both federal guidance and state policies and practices may be drawn from:

- The guidelines for Out-of-Home Child Care Programs that will be outlined in the soon-to-be released third edition of *Caring for our Children: National Health and Safety Performance Standards.* These nationally recognized standards include health and safety practices such as physical activity, nutrition, and limited screen time for children from birth to age 12 in all types of early childhood settings.

- The National Association for Sport and Physical Education (NASPE) recommendation that all children in full-day child care are provided at least 60 minutes of structured and unstructured physical activity per day. Others have recommended that infants be provided opportunities for gross motor activity, and should not be unnecessarily confined.

- The revised Head Start Program Performance Standards, which include recommendations for health, nutrition, and physical environments.

**Recommendation 1.12:** The Federal government should look for opportunities in all early childhood programs it funds (such as the Child and Adult Care Food Program at USDA, the Child Care and Development Block Grant, Head Start, military child care, and Federal employee child care) to base policies and practices on current scientific evidence related to child nutrition and physical activity, and seek to improve access to these programs.

**Benchmarks of Success**

An increased number of states will adopt more stringent licensing standards that include nutrition, physical activity, and screen time that align with *Caring for our Children: National Health and Safety Performance Standards, 3rd edition* and coordinate across systems with Pre-K, Head Start, and child care. New or enhanced data sources may be needed to monitor progress in this area.
II. Empowering Parents and Caregivers

Fundamentally, parents and caregivers are responsible for their children’s health and development. They instill and promote certain values, reward or reinforce specific behaviors, and shape choices that form life-long healthy habits. Each day, parents and caregivers make decisions on food selection, eating patterns, physical activity, and sedentary habits like television viewing. Children learn from the choices adults make. Often it is an entire family that experiences being overweight or obese.

Changes in the food and social environment over the past 20 years have made parents’ and caregivers’ roles in promoting health more challenging. Parents and caregivers want to provide good nutrition and regular physical activity, but often lack information that is clearly understandable and actionable. Communities, businesses, health care providers, and governments can play a supportive role in providing helpful information and fostering environments that support parents’ and caregivers’ healthy choices. For information to be useful to busy and overworked parents and caregivers, both the “what” and the “how” to deliver information must be considered. With a myriad of messages about what to eat and how to be active, the consistent delivery of specific, unambiguous, and actionable messages is critically important. In addition, the broader environment—including confusing claims or labels on food packages and marketing campaigns—can become a serious obstacle, by making unhealthy choices easy and healthy choices hard.

This chapter describes current and proposed initiatives to empower parents and caregivers by:

- making nutrition information useful,
- improving food marketing and labeling practices, and
- strengthening health care providers’ role.

A. Making Nutrition Information Useful

Good nutrition plays an essential role in overall health. Healthy eating habits in childhood and adolescence are important for positive growth and development and can help children achieve and maintain a healthy weight. Today, the eating habits of many young people are inconsistent with the recommendations in the Federal Dietary Guidelines for Americans (Dietary Guidelines), thus increasing the risk of obesity.

To assist parents and caregivers in establishing healthy eating habits for children, they should have greater access to the right tools and resources that increase nutritional knowledge and help them make healthier choices.

Dietary Guidelines for Americans and the Food Pyramid

The Dietary Guidelines for Americans provide science-based advice for individuals over age two to promote health and reduce the risk of major chronic diseases through diet and physical activity. HHS and USDA work in partnership to review and update these guidelines every five years, based on an analysis of scientific evidence. The current Dietary Guidelines, issued in 2005, encourage most Americans to eat
fewer calories, be more physically active, and make wiser food choices. The 2010 Dietary Guidelines are under development, and will be released later this year.

The Dietary Guidelines are intended to be the primary source of dietary health information for policymakers, nutrition educators, and health providers, helping to inform nutrition research priorities, influence industry product development, and support education initiatives. They affect the decisions both private and public institutions make, and ultimately, they are meant to inform consumers, including parents and caregivers, about how to make healthy choices.

The Dietary Guidelines also form the basis of Federal nutrition policy. For example, they must be applied in menu planning in the National School Lunch Program (NSLP), in education materials used by the Supplemental Nutrition Assistance Program (SNAP, formerly called food stamps), and in the development of information on the Nutrition Facts panel that appears on food packages.

The Food Pyramid is an educational system developed by USDA to translate the Dietary Guidelines into food-based recommendations and applications for the public. The broader MyPyramid Food Guidance System provides educational resources, messages and personalized tips about nutrition and physical activity, and extensive online interactive healthy eating tools.

MyPyramid.gov has reached millions of consumers, health professionals, and educators since it was launched in 2005, and is consistently one of the top five most popular federal web sites. Despite its popularity and prominence, the Food Pyramid has been subject to significant criticism for failing to communicate effective, actionable messages to consumers, which many observers have suggested are critical in changing behavior. The MyPyramid system is currently being studied for improvements.

In addition, the recently-enacted Affordable Care Act requires HHS, in consultation with private-sector experts, to maintain a website that provides science-based information to health care providers and consumers on guidelines for areas such as nutrition, regular exercise, and obesity reduction. The legislation also requires HHS to create a web-based prevention plan tool to help families make informed health decisions. Parents and caregivers can also currently find information about nutrition and healthy eating at www.FruitsandVeggiesMatter.gov and through the We Can childhood obesity prevention program developed by the National Institutes of Health (wecan.nhlbi.nih.gov). Given the broad array of federal tools to help consumers make healthy choices, it is critical that these tools be developed and maintained in a manner that is coordinated and sending consistent messages.

Food Package Labeling

Parents and children need accurate, clear, and consistent information on food packages in order to choose healthier foods. At present, the main source of consistent information is the detailed Nutrition Facts panel on food packages, designed by the Food and Drug Administration (FDA) pursuant to the Nutrition Labeling and Education Act of 1990. USDA regulates the labeling of meat and poultry products, and currently requires the Nutrition Facts to be displayed on processed products. USDA is in the process of requiring that the Nutrition Facts panel be displayed on other meat and poultry products, including
ground beef and cuts of meat, as well.\textsuperscript{117} According to recent FDA surveys, consumers are increasingly seeking nutrition information about the food they purchase.

The percent of the U.S. population that reports “often” reading a food label the first time they buy a product increased from 44% in 2002 to 54% in 2008. Of those that read food labels when purchasing a product for the first time in 2008, the food label was most often used to:

- See how high or low a food is in things like calories, salt, vitamins or fat (two-thirds of consumers).
- Get a general idea of the nutritional content of the food (over one-half of consumers).

Use of the Nutrition Facts nearly doubled in only four years, from 32% in 2004 to 52% in 2008.\textsuperscript{118}

Nutritional or health claims on the front of food packages have also increased, but these claims are sometimes seen as misleading. An FDA survey found that only about half or less of Americans trust the claims on the front of food packages.\textsuperscript{119} FDA has the authority to review scientific evidence for nutrient content and health claims on food packages before they are used, and is increasingly taking action to help prevent the spread of misinformation. FDA has taken action recently to address some of the inappropriate use of claims in food labeling.\textsuperscript{120}

Despite its value and importance, the Nutrition Facts panel has been criticized as unduly detailed and complex. To make it easier for consumers to get information at a quick glance, FDA is currently investigating options for a standard, front-of

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**“Spot the Block” Campaign**

HHS has launched a program called “Spot the Block” to encourage children and caregivers to read the Nutrition Facts panel. They have recently launched an education campaign based on “Spot the Block” that targets African-American and Hispanic communities.

HHS tested the “Spot the Block” program with the Cartoon Network and the research findings showed the program is effective in getting children to respond to the messages. Specifically, there were significant increases in:

- children who think the Nutrition Fact panel is important to them (+21%);
- the likelihood children would tell their friends to check the Nutrition Facts panel (+48%); and
- the perceived importance of knowing the serving sizes of the food they eat (+71%).
package label. FDA is also working to update the Nutrition Facts panel based on new scientific information and consumer research.

Serving sizes also play an important role. In 2005, FDA began the process of reviewing data to update the reference amounts used to determine serving sizes on food packages. A specific concern was the presentation of serving size information on packages that may contain multiple servings but could reasonably be consumed at one time. For example, a 20 ounce bottle of soda is currently labeled as having multiple servings, but is often consumed all at once. FDA is currently analyzing comments and food intake survey data to determine steps to take and how changes in serving size will impact updates to the Nutrition Facts panel.

Menu Labeling

The recently-enacted Affordable Care Act requires display of calorie counts by chain restaurants with 20 or more locations and vending machine operators with 20 or more machines. Chain restaurants must also make available for customers, upon request, more detailed nutritional information such as sodium and sugars, and the menu or menu board must also include a clear statement indicating the availability of this information. Chain restaurants must display this information on menus, menu boards, self-service lines, and in drive-through lanes. Vending machines must provide a clear and noticeable statement disclosing the calorie counts near each item or the selection button. Restaurants, retail food establishments, and vending machine owners who are not subject to these requirements can voluntarily register with HHS to be subject to these requirements. New York City implemented a similar law prior to enactment of the Affordable Care Act, and early research indicates it may have favorably affected eating habits, although firm conclusions cannot yet be drawn.

A recent study showed that both information and convenience can have a beneficial effect on how customers choose their meals. The study indicates that when presented with calorie information (how many calories are contained in each menu item) and a calorie recommendation (how many calories men and women of varying activity levels should consume), people on average order meals with significantly fewer calories. Indeed, the effect of providing this information reduced meals by almost 100 calories. The study also showed that making healthier meal choices more convenient has a significant impact on consumption decisions. For example, if healthier options are featured on a menu page and other options require a more active choice, it is likely that fast-food customers will order lower calorie meals. This finding is consistent with other studies showing that changes in how and where food is located can help promote healthy choices, suggesting the effectiveness of possible changes like moving vending machines farther from school cafeterias or moving fruit next to cash registers.

Research has also shown that plate size in restaurants or at home can make a significant difference in how much food is consumed, and that portion sizes have grown substantially over time. Eating dinner as a family is also associated with healthier eating.

Recommendations

Recommendation 2.1: The Federal government, working with local communities, should disseminate information about the 2010 Dietary Guidelines for Americans through simple, easily action- able messages for consumers and a next generation Food Pyramid. As HHS and USDA launch the
updated Dietary Guidelines in late 2010, they should include simple advice and messages for consumers. Incorporating information about knowing daily caloric needs will be useful as menu labeling is implemented. For example, these messages could include:

- Drink water instead of soda or juice with added sugar;
- Avoid foods that consist mainly of added sugars or fats;
- Eat more fruits, vegetables, whole grains, and lean proteins;
- Choose low-fat or fat-free dairy products (such as 1% or skim milk); and
- When possible, eat dinner together as a family.

Other important messages to share with parents include:

- The recommended amount of daily physical activity (one hour a day for children, as recommended by the companion Physical Activity Guidelines for Americans);
- The recommended amount of screen time; and
- The recommended amount of sleep (more than 10.5 hours a night for preschool-aged children and 12 hours for infants).\(^\text{128}\)

Any specific messages should be coordinated with the scientific recommendations of the Dietary Guidelines Advisory Committee. They should also be coupled with practical tips for parents on how to make these changes in their children’s lives. The tips should recognize the juggling act and time pressures that many parents and caregivers are facing. Suggestions for how to deliver these messages should also be sensitive to the risk of eating disorders among young people.

USDA and HHS should also research and disseminate information about the most effective ways to promote the Dietary Guidelines among children to impact their eating habits. This includes modernizing the “SNAP Ed” program, a nutrition education program for participants in SNAP (formerly known as food stamps), in a way that provides influential information to parents and caregivers. A recent study recommended that SNAP Ed be improved in a number of ways.\(^\text{129}\)

**Recommendation 2.2: The FDA and USDA’s Food Safety and Inspection Service should collaborate with the food and beverage industry to develop and implement a standard system of nutrition labeling for the front of packages.** The labeling system should be based on scientific research that assesses the formats people will notice, understand, and use to make healthy choices. To complement this effort, FDA should address portion size and continue its work to prevent misleading claims on food packages.

**Recommendation 2.3: Restaurants and vending machine operators subject to the new requirement in the Affordable Care Act should be encouraged to begin displaying calorie counts as soon as possible.**

**Recommendation 2.4: Restaurants should consider their portion sizes, improve children’s menus, and make healthy options the default choice whenever possible.** The improvements are particularly important since one-third of meals are consumed in restaurants,\(^\text{130}\) including many meals eaten by children at fast-food establishments.
Benchmarks of Success

An increase in the number of parents who are better able to notice, understand, and use food labels. FDA collects data on use of nutrition labels by adult consumers in households with children through the Health and Diet Survey and will be looking for a steady increase in the percentage using the labels.

In addition, as noted earlier in this report, it will be critically important to monitor the overall health of children’s diets and make steady progress toward improvements such as reducing added sugars and increasing fruit and vegetable consumption.

B. Food Marketing

Food marketing to children and adolescents is a big business. The Federal Trade Commission (FTC) estimates that, in 2006, food, beverage, and quick-serve restaurant companies spent more than $1.6 billion to promote their products to young people. Children and adolescents are an important demographic for marketers for several reasons: (1) they are customers themselves; (2) they influence purchases made by parents and caregivers; and (3) they are the future adult market. For many years, public health experts and others have argued that the marketing of energy-dense, low-nutrient food products to children and adolescents is one of many factors contributing to the obesity epidemic. While a causal link between marketing and increasing childhood obesity rates has yet to be firmly established, research indicates that advertising can have a strong influence on children. Young children in particular have difficulty distinguishing between television programming content and advertising, or comprehending the purpose of advertising. Older children, and even adults, are influenced by advertising too.

The marketing of food products can also be a powerful tool to drive the purchase of healthy products and to communicate important information about healthy eating choices. For example, one study found that children ages 3-5 preferred the taste of the same foods if they thought they were from McDonald’s, rather than another source. Key actors—from food and beverage companies, to restaurants, food retailers, trade associations, the media, government and others—all have an important role to play in creating a food marketing environment that supports, rather than undermines, the efforts of parents and other caregivers to encourage healthy eating among children and prevent obesity.

Current Climate, Recent Initiatives, and Industry Self-Regulation

Television advertising is the dominant form of marketing to both children and adolescents, comprising almost half of total youth-directed marketing expenditures according to the FTC. However, food and beverage companies utilize a full range of other marketing techniques including print, internet advertising (such as advergames), product packaging, in-school marketing, cross-promotions, prizes and contests, and the use of popular licensed characters that appeal to children and adolescents. Notably, many advertising campaigns are fully integrated, using common themes across multiple promotional platforms.

The use of licensed characters to market foods to children is particularly effective and pervasive. Research conducted by the Sesame Street Workshop in 2005 found a strong influence of popular...
licensed characters on preschoolers' food preferences. When preschoolers were asked if they would rather eat broccoli or a Hershey's chocolate bar, 78% of the children chose the chocolate bar and only 22% chose broccoli. When an Elmo sticker was placed on the broccoli, however, 50% of the children chose broccoli. Not surprisingly, food marketers' use of licensed characters in cross promotions targeting children has increased in recent years. At the same time, the nutritional quality of the products promoted by these characters has decreased.

In 2006, a Joint Task Force on Media and Childhood Obesity was established to examine the impact of media on childhood obesity and to develop voluntary industry standards to limit advertising that targets children. Senators Sam Brownback and Tom Harkin, former Federal Communications Commission (FCC) Chairman Kevin Martin, and former FCC Commissioner Deborah Taylor Tate convened the Task Force and members spanned from industry to government, and many others. The Task Force was unable to agree on either a uniform set of nutritional standards for defining healthy versus unhealthy foods, or media companies' obligations to enforce advertising limits.

That same year, the Council of Better Business Bureaus established the Children’s Food and Beverage Advertising Initiative (CFBAI) in response to growing public concern and calls for the food and beverage industry to self-regulate. CFBAI was intended to change the ratio of food and beverage advertising messages directed to children under the age of 12 to encourage healthier eating and lifestyles. Its 16 current member companies (Burger King, Cadbury Adams, Campbell Soup, Coca-Cola, ConAgra Foods, Dannon, General Mills, Hershey, Kellogg, Kraft, Mars, McDonald’s, Nestle, PepsiCo, Post Foods, and Unilever) have agreed upon five central components:

1. 100% of child-directed television, print, radio, and internet advertising must promote “healthier dietary choices” or “better-for-you” products;

2. Products depicted in child-directed interactive games must be “better-for-you” foods or the games must incorporate healthy lifestyle messages;

3. Companies must reduce their use of third-party licensed characters in advertising that does not promote healthy dietary choices or healthy lifestyles;

4. Companies must not pay for or actively seek placement of their products in entertainment directed at children; and

5. Companies must not advertise food or beverage products in elementary schools.

Since its implementation, the efficacy of the CFBAI’s efforts has been subject to debate. FTC’s 2008 report noted that the participating companies’ nutritional standards, as well as their definitions of “child-directed,” vary by company. Within certain guidelines, each company developed its own nutritional standards for what constitutes a “better for you” food or a “healthy dietary choice.” Moreover, the FTC criticized the program for applying these standards only to certain forms of advertising. It recommended, among other things, that the CFBAI improve the quality and consistency of the nutritional standards and extend those standards to all advertising and promotional techniques, including product packaging and “point-of-sale” advertising (such as displays near a check-out counter).
A recent study analyzed the effectiveness of the CFBAI and found that it had not substantially shifted advertising for children toward healthier products. Using one measure of nutritional quality, the study determined that, in 2009, advertisements for healthy products accounted for a very small fraction of all advertising by participating companies, while most advertising promoted foods of low nutritional value. The study also found that companies participating in the CFBAI nearly doubled the use of licensed characters over the past four years, increasing from use in 8.8% of advertisements in 2005 to 15.2% in 2009. Roughly half of all advertisements with these characters are for foods in the lowest nutritional category. The CFBAI has criticized this study, and argues that its voluntary efforts have led to significant improvements in foods advertised to children.

Some media and entertainment companies have adopted policies limiting the types of foods for which they will license their popular characters. In addition, one company has set nutritional standards for the food advertising it accepts on child-directed programming. However, not all companies with popular entertainment properties have instituted similar policies, and the ones that have often use varying guidelines.

Concern about the ineffectiveness of industry self-regulation led Congress in 2009 to direct the formation of an Interagency Working Group on Food Marketed to Children (IWG). This group, comprised of representatives of the FTC, FDA, CDC, and USDA, was tasked with developing recommendations for uniform standards for foods marketed to children ages 17 and under, as well as the scope of media to which such standards should apply. The group released tentative voluntary standards in December 2009 and is expected to publish proposed standards in the Federal Register for public comment in the near future.

An examination of the food and beverage industry’s efforts to voluntarily limit marketing to children suggests the following conditions are necessary for meaningful improvement to occur through industry-directed initiatives:

1. First, self-regulatory groups must adopt a uniform set of nutritional standards. Without clear, consistent standards, there can be no objective basis for comparing different food products or measuring progress. The freedom of the CFBAI members to define what constitutes a “better-for-you” food product has resulted in variations in the nutritional criteria used from one company to the next. The IWG’s forthcoming recommendations on standards should be helpful here. More generally, Federal agencies with expertise in this area should work with industry to establish consistent standards based on the Dietary Guidelines that can be easily understood by both consumers and industry.

2. Second, any framework for voluntary reform must provide a level competitive playing field within the industry. If compliance results in significant competitive disadvantages to participating companies, long-term compliance becomes unsustainable. It is therefore critical to have broad participation by all companies that market food and beverage products to children. These efforts must be supported by cooperation from the major media companies that target child audiences. Media companies can directly control the type and volume of advertisements shown on their platforms. Accordingly, they can impose limits on advertising, regardless of
II. EMPOWERING PARENTS AND CAREGIVERS

advertisers’ participation in a voluntary scheme. Media companies’ use of uniform nutritional criteria would facilitate these efforts.

3. Third, to create a meaningful impact, self-regulation must apply to all forms of marketing across multiple platforms. The current voluntary guidelines allow extensive marketing of non-nutritious foods in a myriad of ways that target children.

4. Finally, effective voluntary reform will only occur if companies are presented with sufficient reasons to comply. The prospect of regulation or legislation has often served as a catalyst for driving meaningful reform in other industries and may do so in the context of food marketing as well.

The Role of Federal Regulation of Advertising

The Federal Communications Commission (FCC)’s regulatory authority varies across industries and platforms. The FCC has some direct authority to regulate advertising on children’s television programs. The Children’s Television Act limits the amount of commercial matter aired during children’s programming to no more than 10.5 minutes per hour on weekends and no more than 12 minutes per hour on weekdays. As implemented by the FCC, these limits apply to commercial television licensees, cable operators, and satellite television (DBS) providers. In addition, the Act specifically authorizes the FCC to review and evaluate the advertising duration limitations, and to modify them in accordance with the public interest based on demonstrated need.154

The FTC, which has extensively studied food marketing to children,155 is responsible for protecting consumers by preventing unfair or deceptive advertising. However, its ability to regulate child-directed advertising is limited. In 1981, in response to the FTC’s effort to regulate the advertising of sugary foods to children, Congress prohibited the agency from using its authority over unfair practices to adopt rules regarding children’s food advertising.

While new or revised rules to limit advertising during children’s programming may be helpful or even necessary to fully address the childhood obesity epidemic, such efforts must carefully consider freedom of speech interests. Furthermore, even if efforts to limit marketing to children are successful, they would only provide a partial solution given that children are heavily exposed to advertising not specifically directed to them. For example, half of the food advertisements children see on television occur on prime-time and other non-child directed programs.156 Programs like American Idol and The Simpsons, which are popular among children and teens, are regarded as general audience or family programming because adults form such a large share of the audience.157 In addition, children are increasingly exposed to many forms of marketing other than television advertising, including billboards, point-of-purchase displays, and content accessed through the Internet, mobile phones, and MP3 players.
Recommendations

The Federal government can play a crucial role in improving the media environment for children with respect to the marketing of foods and beverages. It can do so while fully respecting the First Amendment right to free speech. Generally, this role includes:

- Bringing together key stakeholders to develop collaborative solutions;
- Providing guidance to industry on voluntary initiatives;
- Conducting consumer education and outreach campaigns; and
- Promulgating laws and regulations when other methods prove insufficient.

Recommendation 2.5: The food and beverage industry should extend its self-regulatory program to cover all forms of marketing to children, and food retailers should avoid in-store marketing that promotes unhealthy products to children. Currently, the CFBAI guidelines limit only certain types of child-directed advertising—including television, print, radio, and Internet—but do not apply to in-store advertising, product packaging, and many other forms of marketing. For truly meaningful and effective self-regulation, all forms of child-directed marketing should be covered. Retailers have an important role to play in this effort as well, since they control what products are placed at children's eye level and can impact in-store advertising, including at the point-of-sale.

Recommendation 2.6: All media and entertainment companies should limit the licensing of their popular characters to food and beverage products that are healthy and consistent with science-based nutrition standards.

Recommendation 2.7: The food and beverage industry and the media and entertainment industry should jointly adopt meaningful, uniform nutrition standards for marketing food and beverages to children, as well as a uniform standard for what constitutes marketing to children. All nutrition standards should be based on the Dietary Guidelines. As part of this effort, the food and beverage industry should develop aggressive targets and metrics for increasing the proportion of advertisements for healthy foods and beverages across all marketing channels and platforms. The media and entertainment industry should develop uniform guidelines to ensure that a higher proportion of advertisements shown on their networks and platforms are for healthy foods and beverages.

Recommendation 2.8: Industry should provide technology to help consumers distinguish between advertisements for healthy and unhealthy foods and to limit their children's exposure to unhealthy food advertisements. The food and beverage industry and the media and entertainment industry should create an on-air labeling system that helps consumers easily distinguish between advertising for healthy and unhealthy foods. The FCC could also urge these industries to create innovative technologies that allow parents to block unhealthy food and beverage advertising from all programming. The nutritional standards should be uniform and based on the Dietary Guidelines.

Recommendation 2.9: If voluntary efforts to limit the marketing of less healthy foods and beverages to children do not yield substantial results, the FCC could consider revisiting and modernizing rules on commercial time during children’s programming.
Benchmarks of Success

A substantial yearly increase in the proportion of healthy food and beverage advertisements targeting children such that, within three years, the majority of food and beverage advertisements directed to children promote healthy foods.

A substantial yearly decrease in the use of licensed characters to promote foods and beverages that are not healthy such that, within three years, licensed characters are used only to promote healthy foods and beverages.

To measure progress, data-driven studies are needed to evaluate the nutritional content of foods advertised to children. The FTC’s follow up study, expected in 2011, will explore this issue, as well as shifts in consumption that have occurred following the implementation of industry self-regulation. It is critical to monitor and evaluate progress to support marketing efforts that reduce childhood obesity. If industries and government begin implementing recommendations immediately, meaningful progress could be achieved in three years.

C. Health Care Services

BMI Measurement and Obesity Prevention

Parents and caregivers often do not realize when a child is overweight or obese. In fact, studies have consistently shown that parents do not accurately perceive the weight of their overweight or obese child. To inform and make potentially serious health issues salient to parents and caregivers, several states and municipalities now require children’s BMI to be measured and shared with parents or caregivers. When aggregated, this data can also show the weight status over time in a student population, monitor progress of national health objectives, and monitor the effects of school-based physical activity and nutrition policies and programs.

BMI is a measure of weight status at one point in time, so it is important for students, families, and policymakers to respond to trends in BMI measurements rather than one measurement point. For children and teenagers, BMI is used as a screening tool, not a diagnostic tool, meaning that it can suggest a child has a weight concern but does not determine a child’s weight status. To understand a BMI score more accurately, health care providers often look at other measures. Additional assessments and tests can include a patient’s medical history, family history, diet, physical activity habits, and blood pressure, and laboratory tests such as cholesterol levels. By performing follow up assessments and tests, practitioners can determine if the student actually has excess body fat or other health risks related to obesity.

A recent survey of practicing pediatricians found that nearly all respondents reported measuring height and weight at well-child visits, using growth charts as a reference. However, only about half calculate and assess BMI percentile for gender and age for children older than two years of age. Most pediatricians reported that they lacked time to counsel on overweight or obesity and counseling alone has poor results, yet they noted that having simple diet and exercise recommendations would be helpful. In another survey, only about 37% of overweight children and adolescents reported being told by a health care provider they were overweight.
Some states are implementing school-based BMI measurement programs. These screening programs are designed to assess the weight status of individual students to detect those who are at risk for weight-related health problems. Screening results are sent to parents and typically include: the child’s BMI-for-age percentile; an explanation of the results; recommended follow up actions, if any; and tips on healthy eating, physical activity, and healthy weight management. To date, few studies have assessed the utility of these programs in preventing increases in obesity or their impact on weight-related knowledge, attitudes, and the behaviors of young people and their families. These approaches merit further evaluation and review.

It is critical that health providers engage in BMI measurement. As the Surgeon General has noted, “people access the health care system through multiple channels, and medical care settings are an important avenue for preventing and controlling overweight and obesity. Clinicians are often the most trusted source of health information and can be powerful role models for healthy lifestyle habits.” While uninsured families have decreased access to well-child care and thus BMI screening, the recently-enacted Affordable Care Act will expand health care coverage and provide additional opportunities to support children’s health.

Parents and families should also receive specific information and counseling on healthy behaviors from their health care providers. These behaviors include increasing fruit and vegetable intake and physical activity time, limiting unhealthy behaviors such as consumption of high calorie foods with little nutritional value and sugar sweetened beverage intake, and reducing sedentary time. Providers should also be able to refer parents and caregivers to the appropriate community resources.

An expert committee, convened by the American Medical Association (AMA), HHSS’s Health Resources and Services Administration (HRSA), and the CDC, made recommendations, which have been endorsed by the American Academy of Pediatrics (AAP), on the prevention, assessment, and treatment of children who are overweight or obese. The committee noted that health care provider offices and health care systems may need to change their organizational approach to effectively address obesity prevention. More comprehensive and more useful care can be provided by integrating community resources, health care, and patient and family self-management. Health care providers may also need training on how to raise these issues most effectively with parents, since the stigma often associated with obesity can sometimes prevent clinicians from feeling comfortable discussing the implications of a high BMI. Similarly, it is important to avoid children feeling stigmatized due to their weight.

**Obesity Treatment**

The AAP-endorsed recommendations of the expert committee described above include four stages of treating obesity. The first stage is brief counseling, which can be delivered in a health care office. Subsequent stages require more time and resources. Stage two is a structured plan, consisting of a balanced diet, supervised physical activity, reduced screen time, and logs to monitor behavior change. Stages three and four include intensive interventions administered by expert obesity management professionals.
The chronic care model also creates a new structure for treatment of chronic diseases by integrating community resources with health care and patient self-management. This approach is recommended for children who are overweight or obese. The United States Preventive Services Task Force (USPSTF) found that effective, comprehensive weight-management programs for obese children ages 6 years and older incorporated counseling and other interventions that targeted diet and physical activity. Interventions also included behavioral management techniques to assist behavior change, and those that focused on younger children incorporated parental involvement.

**Recommendations**

**Recommendation 2.10:** Pediatricians should be encouraged to routinely calculate children’s BMI and provide information to parents about how to help their children achieve a healthy weight. As part of the First Lady’s Let’s Move Initiative, the AAP pledged in February 2010 to engage in a range of efforts to achieve two primary goals:

- Calculate BMI for every child at every well-child visit beginning at age 2, and provide information to parents about how to help their child achieve a healthy weight.
- Provide “prescriptions” for healthy active living, including good nutrition and physical activity, at every well-child visit, along with information for families about the impact of healthy eating habits and regular physical activity on overall health. Pediatricians can use their own prescription pads or existing handouts, or they can opt to use the healthy active living prescriptions created by the AAP and available at [www.aap.org/obesity/whitehouse](http://www.aap.org/obesity/whitehouse).

**Recommendation 2.11:** Federally-funded and private insurance plans should cover services necessary to prevent, assess, and provide care to overweight and obese children. HHS’s Center for Medicare and Medicaid Services is planning to send a letter to State Medicaid directors to clarify how these services are currently covered in Medicaid and the Children’s Health Insurance Program. The recently-enacted Affordable Care Act also requires each State to design a public awareness campaign on preventive and obesity-related services available to Medicaid enrollees. Starting this year, the Act also requires new private plans to cover preventive services at no charge by exempting these benefits from deductibles and other cost-sharing requirements. The Indian Health Service covers these services and has proposed an initiative on early identification and treatment of childhood and adult obesity in primary care in the President’s FY2011 Budget.

**Recommendation 2.12:** Dentists and other oral health care providers should be encouraged to promote healthy habits and counsel families on childhood obesity prevention as part of routine preventive dental care.

**Recommendation 2.13:** Medical and other health professional schools, health professional associations, and health care systems should ensure that health care providers have the necessary training and education to effectively prevent, diagnose, and treat obese and overweight children.
Benchmarks of Success

All primary care physicians should be assessing BMI at all well-child and adolescent visits by 2012.

All parents and caregivers should routinely receive nutrition and physical activity counseling from their children’s health care providers by 2012.

BMI assessment and counseling trends can be tracked using the National Ambulatory Medical Care Survey. Additionally, in 2009 the National Committee on Quality Assurance (NCQA) added rates of BMI assessment and nutrition and physical activity counseling for children and adolescents to its “HEDIS” (Healthcare Effectiveness Data and Information Set) quality measures. The NCQA HEDIS measures provide a complementary tool for future tracking of provider assessment and counseling trends.

Key Questions for Future Research

Building the science for prevention will help to strengthen childhood obesity prevention efforts. Below are identified research areas for consideration when developing national research agendas:

- Research the link between traditional as well as non-television forms of advertising, such as the internet, and food preferences and consumption by children and adolescents.
- Test studies of family-based interventions (such as studies of parenting style, home availability of healthful food, and opportunities for physical activity).
- Identify and test approaches for community partnerships to disseminate and implement evidence-based obesity prevention programs.
- Understand how individuals interpret and are influenced by dietary and physical activity messages (such as interpersonal, cultural, and media messages, as well as food labels) through research on learning, cognition, information processing, persuasive communications, and message framing.
- Determine whether federal farm promotion (“check-off”) programs that promote certain agricultural products have an impact on Americans’ compliance with the Dietary Guidelines.
- Compare medical and surgical treatments and lifestyle changes to identify those that are most effective in improving obesity and health outcomes in children and adolescents.
- Test models for delivering obesity prevention and treatment to change the behaviors of health practitioners and translate or disseminate evidenced-based therapies to primary care practices.
- Examine effects of targeted strategies focused on subpopulations at elevated obesity risk, such as those in racial and ethnic minority populations, tribal populations, lower socioeconomic status, rural communities, people with disabilities, and individuals taking medications that can increase body weight (such as psychotropics or insulin).
- Examine the efficacy of increased habitual sleep time on metabolic regulation such as reducing body weight, regulating appetite, and improving glucose tolerance and insulin sensitivity.
III. Healthy Food in Schools

The school environment strongly impacts the behavior, and thus the health and well-being of the students. Over 55 million American children are enrolled in elementary or secondary school. These children spend over six hours each day at school, on average. Over 90% of enrollees attend schools that offer one or more Federal nutrition assistance programs. In addition, many students consume foods sold at school, but outside the school meal programs. Most children eat at least one meal at school, either brought from home or provided by the school. Many will have more than one meal, along with snacks and other supplementary foods.

Beyond this, various educational and related activities, both at school and traveling to and from school, have an important impact on students' nutrition and physical activity behaviors. Children's choices depend on what is most visible and easily accessible; seemingly small differences in the school environment can have large effects on what children eat. The “choice architecture” intentionally or unintentionally designed into the school nutrition environment can make a decisive difference in our children's behaviors and health.

Unfortunately, some key aspects of current school meals, other foods at school, and environmental factors are contributing to obesity and failing to support good nutrition and physical activity behaviors. This chapter outlines a range of actions that families, communities, businesses, and governments at all levels can take to improve school foods and the school nutrition environment so they support and foster healthier food choices and help reduce childhood obesity. It focuses on four major areas:

- improvements in the quality of school meals;
- changes in other foods available at school to ensure that all food sold at school support healthful diets;
- modifications to curriculum, school program operations, and community policies and infrastructure to match changes in school foods; and
- revisions to policies and practices in juvenile justice and other institutional settings to ensure that all childhood and youth environments support healthy eating.

A. Quality School Meals

Meals provided under the federally-financed National School Lunch Program and School Breakfast Program must meet a range of food-based and nutrient-based standards to ensure they contribute effectively to a good diet. The most recent national study of the content of such meals, however, found that they were not always meeting program standards.
In the 2004-05 school year, although most school meals were consistent with meal pattern requirements and provided most key nutrients, 93-94% of meals failed to meet all nutritional standards, primarily due to not meeting standards for fat, saturated fat, or calories.\(^\text{176}\)

Most schools offered students the opportunity to select a balanced meal, but few students made the healthful choice. In about 90% of all schools nationwide, a student had opportunities to select low-fat lunch options, but in only about 20% of all schools did the average lunch actually selected by students meet the standards for fat.\(^\text{177}\)

Schools offered few whole grain foods in the school year 2004-05, and french fries and other similar potato products accounted for a disproportionate amount of the vegetable options on school lunch menus.

Since the last time the Nutritional Standards and Meal Requirements for schools were set, the Dietary Guidelines have been updated. The Institute of Medicine recently provided recommendations for updated nutrition standards consistent with the 2005 Dietary Guidelines.\(^\text{178}\) Those recommendations include increasing the amounts of fruits, vegetables and whole grains; reducing the amount of sodium and saturated fat provided; and setting a minimum and maximum number of calories for school meals. This must be done in a manner that is appealing and appetizing to children and in conjunction with effective nutrition education that helps students select and consume these foods. USDA is currently developing a regulatory proposal to guide schools in implementing updated standards.
### III. HEALTHY FOOD IN SCHOOLS

#### Engaging the Community to Improve School Food: Aptos Middle School, San Francisco, CA

Beginning in the 2003-04 school year, the San Francisco Unified School District began implementing new school policies that set nutritional requirements for all foods sold in school. The changes were developed through the involvement of parents, community leaders, public health practitioners, and local physicians, spearheaded by a local organization, Parents for Public Schools in San Francisco, to implement changes in school food.

At the same time, parents at San Francisco’s Aptos Middle School launched an effort specific to their school to change and improve the items sold on the snack bar menu. Student input on menu choices was an important guide to the menu changes. The success of the changes at Aptos in the 2002–03 school year helped establish the basis for the city-wide standards the following year.

The results include:

- Increased student satisfaction with school meals and increased participation in school meals programs.
- Increased service of fruits and vegetables.
- Better nutritional content of a la carte foods and increased revenue.


#### Recommendations

The meals served through the National School Lunch Program (NSLP) and the School Breakfast Program (SBP) are the main nutrition intervention in elementary and secondary schools, and are a substantial part of the diets of many school children. For schools to contribute effectively to reshaping eating behaviors, the meals offered at schools must model healthful choices and help improve healthful dietary intakes.

Because school meals programs operate as partnerships between local schools, communities, state educational agencies, and the Federal government, a multi-faceted strategy is needed to promote positive change. Key actions can help advance this goal.

**Recommendation 3.1: Update Federal nutritional standards for school meals and improve the nutritional quality of USDA commodities provided to schools.** USDA should issue revised meal pattern requirements for the National School Lunch and School Breakfast Programs based on the Institute of Medicine’s recommendations for standards that conform to the 2005 Dietary Guidelines for Americans.

While most of the foods used to prepare program meals are purchased by schools, foods that USDA distributes to schools are an important component of school meals. In recent years, USDA has made great improvements in the nutritional profile of foods that it gives to schools through its commodity support programs by reducing fat, sodium, and added sugars in many of its offerings. USDA should continue to seek and implement more improvements from commodity suppliers and reprocessors, and ensure that ordering and distribution systems favor school foods that meet the updated standards.
The *HealthierUS Schools Challenge* establishes rigorous criteria for schools’ food quality, participation in meal programs, physical activity, physical education, and nutrition education—the key components that make for healthy and active kids—and provides recognition for schools that meet these criteria.

Schools can participate in this model program by going to [http://www.fns.usda.gov/tn/healthierus/index.html](http://www.fns.usda.gov/tn/healthierus/index.html) and learning about the range of educational and technical assistance materials that promote key aspects of the Dietary Guidelines, including a Menu Planner for Healthy School Meals, which provides tips on serving more whole grains, fruits, and vegetables, and lower amounts of sugar, sodium, and saturated and trans-fats in school menus.

Food service workers in more than 75% of America’s schools—along with principals, superintendents, and school board members across America—have committed to work together to reach Let's Move! Challenge goals.

**Recommendation 3.2: Increase resources for school meals.** Improving meals requires an investment in better foods, as well as modernized preparation and service equipment. Costs for meal programs are shared by Federal, Tribal and state governments and families of participating children, and they each have a role in supporting meal improvements.

- The Federal government should increase program reimbursements to support the provision of healthier foods.
- States and local communities should ensure that only costs that support preparation and service of school meals are charged to food service accounts, and seek opportunities to focus additional resources on meal improvements as budgets permit.
- Local communities should review their school meal pricing policies to ensure that revenue for meals only partially subsidized by USDA (i.e. “paid meals”) keeps pace with free meals, in order to support full and prompt implementation of updated meals.
- School food service companies and other suppliers should constantly seek ways to improve the nutritional quality of the food they provide without increasing prices.

**Recommendation 3.3: USDA should continue its outreach and technical assistance to help provide training for school food service professionals.** To provide top-quality meals that are both healthy and appealing to students, local food service professionals need the tools, skills, and techniques to prepare and serve those meals. USDA should continue its program to build skills through guidance and technical assistance, and develop updated resources to support new standards. School districts should be encouraged to make meal improvements, as well as the food preparation training and knowledge a priority for their local food service team. Tribal, state and local policymakers can support these changes with accreditation requirements for food service professionals, and training funds to meet and sustain...
Recommendation 3.4: Schools should consider upgrading their cafeteria equipment to support the provision of healthier foods, for example, by swapping out deep fryers for salad bars. Federal resources that were recently made available for this purpose were heavily over-subscribed, indicating a strong level of local interest in making these kinds of changes. To supplement public resources, private companies that manufacture this equipment, companies that benefit from the sales of healthier products, and philanthropic partners should explore ways to make these items more affordable for schools.

Recommendation 3.5: USDA should work with all stakeholders to develop innovative ways to encourage students to make healthier choices. Putting better meals on the lunch line is not enough. The prominence, visibility, and easy accessibility of particular foods greatly matter and will inevitably have an effect on choice. Where possible, healthy foods should be offered and presented in ways that encourage students to choose and consume them. This can improve students’ food selection and consumption. For example, schools can automatically provide vegetables with an entrée unless the student switches to a less nutritious side dish. USDA should support the development and demonstration of innovative strategies and schools should use them to make healthy meal choices easy and compelling for students.

Recommendation 3.6: USDA should work to connect school meals programs to local growers, and use farm-to-school programs, where possible, to incorporate more fresh, appealing food in school meals. Schools should be encouraged to seek opportunities to purchase foods from local farm cooperatives. USDA should work through its “Know Your Farmer, Know Your Food” initiative and Farm to School Tactical Team to identify and eliminate regulatory barriers to local procurement, assist schools in accessing local markets, and enable food producers to effectively serve their local schools. USDA, the Bureau of Indian Affairs and the Bureau of Indian Education at the Department of the Interior, should also collaborate to increase local, traditionally appropriate foods in Tribally-controlled school meal programs, such as bison and salmon.

Listening to Student Customers: “No Thank You Bites” at Baltimore Public Schools

Chef Tony Geraci, Baltimore City Food Service Director, has undertaken a wide variety of strategies to engage students in making healthier choices in his low-income district. One of the most innovative is “No Thank You Bites,” in which schools make available to students two-ounce samples of a new entrée, fruit or vegetable item. Students that try a sample are given star stickers. Each month, students with stars are invited to a “Constellation Party,” at which Geraci and other district food service professionals are able to talk to the students about their preferences among the samples, and meal offerings more generally. Samples that are popular become part of the menu, and all of the input is used to shape future options.

As Geraci explained in Congressional testimony last fall, “We’re treating kids like the savvy consumers they are…. If a student likes what she tries, great. If not, she simply says, ‘No thank you.’ But everyone who works with us to expand their palates and their minds is rewarded and we listen to their suggestions.”
**Recommendation 3.7: Schools should be encouraged to make improvements in their school meal programs through the HealthierUS Schools Challenge in advance of updated Federal standards.**

While Federal school meal standards are being updated, schools should be encouraged to start immediately to improve their food offerings by committing to meeting the Challenge. Many already have, with over 650 schools certified to date. Challenge schools meet a range of high standards for the quality of school meals and all food sold in school, as well as a number of other important criteria. As part of the First Lady’s Let’s Move campaign, the Administration is actively promoting and encouraging schools to meet the Challenge criteria. Food service workers in more than 75% of America’s schools—along with principals, superintendents, and school board members across America—have already committed to work together on this effort.¹⁸⁰

**Benchmarks of Success**

**Achieving the HealthierUS School Challenge goals.** Double the number of schools that meet the Challenge criteria by June 2011, and add another thousand schools in each of the following two years.

**All elementary and secondary schools offering meal options that meet standards for total fat and saturated fat by 2015.** This can be measured by the USDA-commissioned School Nutrition Dietary Assessment Study. Assuming funds continue to be made available for this survey on a regular five year schedule, data on school year 2014-15 would be published in early 2017.

**B. Other Foods in Schools**

Foods offered in addition to and in competition with the meal program often do not contribute to a good diet. Such foods can be sold in the cafeteria, snack bars, vending machines, or other venues. Unlike foods served as part of the school meals programs, these foods are exempt from most Federal nutrition requirements. Often prominent and visible in schools, they contribute to obesity and unhealthy food choices.

A la carte lines allow students to choose cafeteria foods that may be part of a Federally-reimbursable program meal, without choosing healthy meal components that make that meal consistent with nutrition standards. As a result, students who choose a la carte foods are less likely to consume appropriate amounts of key foods and nutrients than those who do not.¹⁸¹

Many schools offer foods in vending and snack bars that may undermine more balanced offerings at meal time. Foods commonly available in these venues include cookies, crackers, pastries, and other high-fat baked goods, as well as salty snacks and sports drinks.¹⁸²

Some schools rely on food sales to cover the cost of extracurricular activities and other expenses.¹⁸³ This can lead to offerings driven by popularity and revenue potential, rather than nutrition. However, many schools found that offering healthier foods did not decrease revenue, and in some cases, increased revenue.¹⁸⁴

In too many schools, such foods facilitate poor nutritional choices for students,¹⁸⁵ and accustom children to poor dietary practices that may ultimately contribute to obesity. They also undermine parents’ efforts
to promote a healthy diet for their children. Evidence in some states reveals that setting standards for foods competing with program meals can improve students’ consumption of more healthy food options.\textsuperscript{186}

\textbf{Recommendations}

Like school meals, local schools must work hand-in-hand with Federal, Tribal, and state governments to reshape their competitive food policies. Key actions can help advance this goal.

\textit{Recommendation 3.8: Increase the alignment of foods sold at school, including in the a la carte lines and vending machines, with the Dietary Guidelines.} Under current law, USDA has very limited authority to set and enforce standards for foods regularly sold outside the Federally-supported school meals programs. In the upcoming reauthorization of the Child Nutrition programs, the Administration is committed to gaining authority to develop and issue these standards for schools participating in USDA programs, and the food and beverage industry has stated its strong support for Congress providing USDA with this authority.\textsuperscript{187} The standards would be developed through a transparent and participatory public rulemaking process, and the Institute of Medicine’s evidence-based recommendations for such standards\textsuperscript{188} can serve as a foundation for the USDA’s proposal.

\textit{Recommendation 3.9: Food companies should be encouraged to develop new products and reformulate existing products so they meet nutritional standards based on the Dietary Guidelines and appeal to children.} Food manufacturers and marketers have a critical role to play in meeting new standards, and have already shown an ability to adapt their products to appeal to more nutrition-conscious consumers over the past several years. These industries should be encouraged to continue to use their energy and ingenuity to develop foods that schools can offer within and outside of the school meals programs. These foods should support healthy diets and offer the taste and convenience needed to appeal to students. For example, food companies should be encouraged to:

\begin{itemize}
  \item Offer whole grain-rich bread and cereal products such as sandwich rolls and pastas;
  \item Reformulate entrees, sauces, and condiments to contain less sodium, while incorporating alternative flavorings and seasonings to maintain palatability; and
  \item Reduce the high levels of added sugars in many flavored milks and yogurts.
\end{itemize}

\textbf{Benchmarks of Success}

Assuming new Federal standards for the nutritional quality of all foods in schools are in effect by 2013, schools should achieve full substantive compliance by that date. In the meantime, progress can be measured by an increase in the number of schools meeting the HealthierUS School Challenge, described above. “Substantive compliance” is meant to denote full consistency between all foods sold in school and Federal standards; any non-compliant schools should be working on USDA-approved corrective actions to achieve substantive compliance.
C. Food-Related Factors in the School Environment

Many facets of the school setting can affect children's dietary choices. Some operate directly on their eating behaviors, while others are more subtle. In too many schools, the connection between service of meals and other foods at school and the responsibility to educate, enable, and motivate healthful nutrition habits is weak or non-existent.

Nutrition Education

More, and better, nutrition education is needed in many schools. While approximately 75% of schools require nutrition education as part of health curriculum requirements, the time spent on nutrition and dietary behavior has declined in recent years, and funding has been limited. Nutrition education interventions, if well designed and effectively implemented can improve dietary behaviors.

Lunch Room Environment

Eating behaviors can also be shaped by the cafeteria and lunch room setting, such as the display and description of food and beverages, pricing and methods of payment, and the length of time and time of day made available to eat. Some experiments indicate that these factors can promote healthy choices, although it is not yet clear precisely how such strategies can best be applied in schools.

In addition, some schools' meal service arrangements discourage some children, particularly low-income children, from taking advantage of school meals, undermining the impact of improvements in the nutritional quality of those meals. For example, one survey found that one-third of high schools had separate lines or rooms for the school lunch program and competitive foods. In many of those schools, the vast majority of the students standing in the lunch line were low income. Marketing of food and beverages within schools has also grown substantially in recent years, and may influence food choices in ways that do not contribute to good health.

In addition, some middle and high schools permit students to leave campus for lunch. One study found that 29% of high schools reported having an "open campus" lunch policy. For those who eat lunch off-campus, improvements in school meals will have little impact on their dietary intake. Some schools are also surrounded by fast-food restaurants with few healthy options.

Schools should also be made aware of factors that can impact a child's decision about what to eat, including food allergies and religious restrictions, and they should be encouraged to use existing options to accommodate children's needs.

Recommendations

Recommendation 3.10: USDA and the U.S. Department of Education should collaborate with states to increase the availability and consistency of nutrition education in schools. States should be encouraged to ensure that teacher preparation requirements include basic nutrition knowledge and nutrition education as part of every teacher’s skill set. USDA and the U.S. Department of Education should work together to improve national standards and requirements for nutrition education. Teachers in local
schools can explore interdisciplinary approaches to incorporate healthy eating in the school curriculum (for example, history may have a subject related to healthy diets, math may include how to calculate the needed caloric intake, foreign languages may have students design a menu). The Bureau of Indian Education, Teach for America, and other teacher corps programs could also expand their partnership to include nutrition education.

**Recommendation 3.11: Where possible, use school gardens to educate students about healthy eating.** School gardens offer opportunities for fun and physical activity while also serving as an important educational tool to help students understand how healthful food is produced. Some research suggests that school gardens used as part of a nutrition education strategy can increase knowledge of fruits and vegetables and influence behavior change among children. Schools can further make the link between agriculture and nutritious food by inviting local farmers markets to operate from area school yards. Parents and students can also share their knowledge when shopping together for locally grown fruits and vegetables or participating in Community Supported Agriculture programs.

**Recommendation 3.12: Technical assistance should be provided to schools about how to a cafeteria and lunch room environment can support and encourage a healthful meal.** Factors such as the timing and length of the meal period; cleanliness and noise level in the dining room; and adequate space for eating can all play a role in what children consume.

**Recommendation 3.13: Schools should be encouraged to ensure that choosing a healthy school meal does not have a social cost for a child.** Efforts that make school meals more nutritious and appealing cannot effectively improve students’ choices if other factors undermine their opportunity to select them. For example, many schools provide reimbursable meals at a different serving station than a la carte foods. Children can be discouraged from considering full meals if they are not exposed to them. Furthermore, in schools where most meals are served free or at reduced-price, separating lines can create a perception that program meals are intended only for lower-income students, potentially creating a stigma that prevents children who cannot afford a la carte food from eating at all. Schools should be encouraged to examine their operational practices to ensure that all students have a full opportunity to consider and choose a school meal.

**Recommendation 3.14: Schools should be encouraged to consider the impact of food marketing on education.** Food marketing can occur at school in a number of forms, including on scoreboards, food display cases, and vending machines; in student publications, educational materials provided “free” by food firms, branded fundraisers and food reward programs; and even market research conducted on campus. Schools should be encouraged to limit school-based marketing that contributes to poor health. Private companies should be encouraged to voluntarily shift towards the promotion of healthy foods and away from advertising that promotes unhealthy products.

**Recommendation 3.15: School districts should be encouraged to create, post, and implement a strong local school wellness policy.** Recognizing the critical role schools play in promoting students’ health, preventing childhood obesity, and combating poor nutrition and physical inactivity, Congress passed a law requiring school districts to establish a local wellness policy in 2004. This policy would sets goals for nutrition education, physical activity, campus food provision, and other school-based activities designed to promote student wellness. School boards and other community leaders should
work to ensure this policy reflects the abovementioned recommendations, while tailoring them to their communities’ needs. Tribes can work with the National Indian Education Association and National Indian School Board Association to develop an effective school wellness policy that reflects Tribal values and culture. USDA should also seek authority in the Child Nutrition and WIC Reauthorization Act to strengthen school wellness policy development, implementation, monitoring, and evaluation. The U.S. Department of Education should work with USDA to support these efforts.

To aid in the development and implementation of the wellness policy, schools should consider establishing a health advisory council, as a number of communities have done. These councils, comprised of school officials and staff, parents, local public health authorities, and other community members, foster an exchange of information on a wide range of issues that influence the local school environment. They also provide support, oversight, and accountability for school nutrition improvement efforts.

**Benchmarks of Success**

*Increase in the number of school districts that provide a healthful school environment,* which could include such features as nutrition education integrated into school programming; lunch room environments that support healthy eating; strong marketing policies; and a school health advisory council. Progress can be assessed using data from the School Health Policies and Programs Study (SHPPS), which is conducted every six years by CDC’s National Center for Chronic Disease Prevention and Health Promotion. The most recent SHPPS data is for 2006; the next study will be done in 2012 and released in 2013.

**D. Food in Other Institutions**

Other institutions that play a significant role in the lives of children and young adults do not consistently support healthful nutrition behaviors.

*Afterschool Programs*

For many children, school activities do not end when the last bell rings. Afterschool programs, such as expanded day care centers, tutoring and other instruction programs, and 21st Century Community Learning Centers, serve children in elementary schools across the nation. In 2008, 56% of public elementary schools offered one or more such programs. As with school during regular hours, the afterschool environment influences the eating behaviors of participating children. Because many of these programs operate in low-income communities where access to food may be more limited, incorporating healthful meals and snacks can be especially important. Federally-supported afterschool snacks are available through the National School Lunch Program (NSLP) and the Child and Adult Care Food Program (CACFP). Support for more substantial meals like supper is available through CACFP for low-income communities, but currently in only a limited number of states.
Juvenile Detention and Correctional Settings

While limited research exists on the nutritional services for youth in the juvenile detention and correctional facilities, anecdotal evidence suggests that many detained and incarcerated youth consume diets high in fat, cholesterol, and sodium and low in fresh fruit and vegetables. These types of diets are particularly problematic for incarcerated youth with special dietary needs. In addition, facilities may not employ or have access to licensed nutritionists or dietitians to oversee institutional food services.

Providing appropriate nutrition in juvenile detention and correctional settings is underscored by the population size and the risk factors for weight related problems facing these youth. Data shows that in 2007 more than 360,000 delinquency cases resulted in youth being placed in secure detention and nearly 149,000 resulted in youth being placed out of their home. During a one-day count in 2008, approximately 80,000 youth were housed in 3,000 publicly and privately operated juvenile facilities, including public, private, and tribal facilities.

Many of these young people are at risk for or have serious health concerns that are often undiagnosed or untreated, and they experience physical and mental health problems at rates exceeding those in the general youth population. They are frequently children who have experienced severe familial dysfunction, witnessed violence, or have been victims of physical and sexual abuse.

Many juvenile detention centers operate the National School Lunch Program and School Breakfast Program, but it is unclear how many such institutions offer meals that are consistent with the programs' nutritional requirements. Effective nutrition education, institutional food services, culinary arts, and recreational programming are critical components in addressing the overall health care needs of this vulnerable group.

Recommendations

Recommendation 3.16: Promote good nutrition through afterschool programs. Afterschool programs face distinct circumstances and challenges beyond those that impact children and young people during the school day. States and communities can develop standards and strategies tailored for afterschool programs by building on and integrating the afterschool snack components of USDA's Child Nutrition programs. The Federal government could develop and offer model approaches and resources to inform these efforts.

Recommendation 3.17: Promote healthy behaviors in juvenile correctional and related facilities. States and localities should be encouraged to ensure that juvenile justice facilities use nutrition programs available to them, such as USDA's school meal programs. Federal, state, and non-governmental organizations should collaborate to develop evidence-based programs and standards for health promotion and disease prevention services, including nutrition counseling, meal services, and recreational programs that meet the needs and circumstances of juvenile justice populations. Related programs such as organic fruit and vegetable gardening, farming, and culinary arts initiatives in juvenile justice facilities can potentially promote health, education, workforce development, and positive youth development.
Benchmarks of Success

As this area is explored further, it may be appropriate to develop benchmarks to track progress in improving nutritional outcomes in these settings.

Key Questions for Future Research

- How can we make healthy foods more affordable for use in schools? And more attractive to children?
- What can we learn from behavioral economics to support healthful eating in schools, and how can schools promote healthful eating over the longer term?
- What are the correlative and/or causal linkages between exposure to food marketing in schools and food consumption patterns or obesity?
- How can effective school-based nutrition education models be identified and scaled up to national implementation?
- How does participation in the afterschool snack and meal programs supported by USDA affect children’s diets, opportunities for nutrition education and physical activity?
- To what extent are health promotion and disease prevention services such as nutrition counseling, improved diet, and recreational programs, provided to youth in the juvenile justice system? What evidence-based food services, nutritional education, and exercise programming can best address the needs of youth involved in juvenile justice systems?
IV. Access to Healthy, Affordable Food

Healthy options can be hard to find in too many communities. Millions of low-income Americans live in “food deserts,” neighborhoods that lack convenient access to affordable and healthy food. Instead of supermarkets or grocery stores, these communities often have an abundance of fast-food restaurants and convenience stores. In addition, stores in low-income communities may stock fewer and lower quality healthy foods. When available, the cost of fresh foods in low-income areas can be high. Public transportation to supermarkets is often lacking, and long distances separate home and supermarkets in many rural communities and American Indian reservations. It is hard for residents of these areas—even those fully informed and motivated—to follow the necessary and recommended steps to maintain a healthy weight for themselves and their children. Too often, economic incentives strongly favor unhealthy eating, and accessibility, safety concerns, and convenience can also promote unhealthy outcomes.

Limited access to healthy food choices can lead to poor diets and higher levels of obesity and other diet-related diseases. In addition, limited access to affordable food choices can lead to higher levels of food insecurity, increasing the number of low- and moderate-income families without access to enough food to sustain a healthy, active life. There is a growing, though incomplete, body of research that finds an association between food insecurity and obesity, suggesting that hunger and obesity may be two sides of the same coin.

Many communities around the country have already taken steps to make healthy and affordable foods accessible to all residents because of the potential to improve diet quality and reduce obesity, as well as to create jobs, increase local investment and economic activity, and revitalize neighborhoods. This chapter recommends a comprehensive approach that builds on a promising start to mobilize public and private sector resources to make the healthy choice the easy choice for all Americans.

Specifically, this chapter lays out four key elements for ensuring access to healthy, affordable food:

- Convenient physical access to grocery stores and other retailers that sell a variety of healthy foods;
- Prices that make healthy choices affordable and attractive;
- A range of healthy products available in the marketplace; and
- Adequate resources for consumers to make healthful choices, including access to nutrition assistance programs to meet the special needs of low-income Americans.

A. Physical Access to Healthy Food

Too many Americans live in communities with limited access to supermarkets and grocery stores. Nationwide, USDA estimates that 23.5 million people, including 6.5 million children, live in low-income areas that are more than a mile from a supermarket. Of the 23.5 million, just under half have incomes at or below 200% of the poverty line, and almost 1 million do not have access to a car. USDA estimates...
that 2.3 million people live in low-income rural areas that are more than ten miles from a supermarket; and again, just under half have low incomes.\textsuperscript{206}

Limited access to healthy foods plays a significant role in poor dietary decisions. A scarcity of healthy foods makes it more difficult for low-income residents to adhere to a nutritious diet than for their counterparts in wealthier, resource-rich neighborhoods.\textsuperscript{207} Residents with better access to supermarkets and limited access to convenience stores tend to have healthier diets and lower levels of obesity. Although less consistent, studies do suggest that residents with limited access to fast-food restaurants have healthier diets and lower levels of obesity.\textsuperscript{208}

Access to supermarkets, grocery stores, and specialty markets is important, in part, because they give consumers access to a variety of fruits and vegetables. Diets rich in fruits and vegetables offer a number of health benefits\textsuperscript{209} and have been linked to a lower prevalence of obesity or reduced weight gain.\textsuperscript{210} Most Americans, especially those with low income, consume far fewer fruits and vegetables than recommended by current dietary guidance,\textsuperscript{211} and a lack of easy accessibility may be one reason. A number of studies suggest that better retail access corresponds with healthier eating. Residents with more access to supermarkets or a greater abundance of healthy foods in neighborhood food stores consume more fresh produce and other healthful items. Without nearby access to healthy ingredients, families have a harder time meeting recommended dietary guidelines.\textsuperscript{212}

Some research has found significant associations between the availability of food stores and adolescent BMI. The availability of chain supermarkets was associated with lower adolescent BMI and overweight status, while the availability of convenience stores was associated with higher adolescent BMI and overweight status.\textsuperscript{213}

Many factors contribute to an individual’s overall diet, body weight, and the risk of developing diet-related diseases such as diabetes or cardiovascular disease. Individual factors can explain some but not all of the differences in the rates in which different groups experience these problems. Attention on the relationship between retail food access and obesity has increased as researchers obtain a better understanding of the factors besides individual behaviors that may lead to differences in diet and health outcomes.
Food Deserts in Urban and Rural America

Percent of Metro County Population that is Low Income and > 1 Mile from a Supermarket

Percent of Non Metro County Population that is Low Income and > 10 Miles from a Supermarket

- 0% - 5%
- 5.1% - 10%
- 10.1% - 20%
- 20.1% - 30%
- >30%
Creative tools can be used to assess a community’s needs. For example, Michigan’s Department of Public Health has developed an online Nutrition Environment Assessment Tool (NEAT) to help communities assess the extent to which they promote and support healthy eating.\(^1\)\(^{2}\) The U.S. Department of Defense adopted this tool for use by commanding officers and other stakeholders to make similar assessments of military communities and facilities.\(^3\)\(^4\) A number of policy interventions can lead to improved access to healthy, affordable food. Communities can bring supermarkets to underserved neighborhoods, help smaller groceries or corner stores expand their stock of healthy and affordable food, and develop other retail outlets such as farmers’ markets, public markets, cooperatives, farm stands, community-supported agriculture, and mobile vendors. These efforts also create jobs, bolster local economies, and revitalize neighborhoods, contributing to local economic development. A number of communities have undertaken these kinds of efforts, through projects such as Pennsylvania’s Fresh Food Financing Initiative.

### Faith-based Organizations Find Creative Solutions to “Food Deserts”

There is no one-size-fits-all solution to the problem of food deserts in America. In some communities, it may be economically feasible to bring in a supermarket and sustain it over time; in others, the solution may be a mobile grocery store that comes through town once a week but provides access to healthy foods that community residents otherwise would lack.

Some of the most creative strategies have come from faith-based organizations, many of which have a long tradition of helping to meet the food needs in their communities, through food pantries and other anti-hunger efforts. Here are just a few examples:

- The Central Detroit Christian Community Development Corporation operates the “Peaches & Greens” Produce Truck, which travels through the streets of central Detroit like an ice cream truck, stopping to sell fruits and vegetables to area residents. The organization has also arranged for a number of corner stores to sell “Peaches & Greens” produce.

- In South Los Angeles, the First African Methodist Episcopal Church has arranged for the open-air So Fresh Market to operate in its parking lot. The market welcomes families to participate in free activities and live entertainment, while fresh and natural foods are sold to promote a healthy lifestyle and patrons are invited to watch as cooks present quick and easy meal demonstrations. Partnering with the market is part of a broader effort by the church to promote healthier eating among African Americans in the community.

Direct-to-consumer marketing outlets provide another path to increase healthy food access in underserved areas and stimulate economic development in rural communities across America. These opportunities, including farmers’ markets, farm stands, and community supported agriculture enterprises, are currently promoted by the USDA through its Know Your Farmer, Know Your Food Initiative.

There are also many publicly and privately managed facilities that are frequented by children and their families, including at meal times, such as national, state, and local parks, as well as privately-run amusement parks, sports venues, and other recreational facilities for children. These places can be considered small scale “food deserts” because meals or snacks are available for purchase but few, if any, healthy options are available.
Recommendations

Recommendation 4.1: Launch a multi-year, multi-agency Healthy Food Financing Initiative to leverage private funds to increase the availability of affordable, healthy foods in underserved urban and rural communities across the country. As proposed in the President’s FY11 Budget, through this initiative, USDA, HHS, and the Treasury Department will partner to make over $400 million available to community development financial institutions, nonprofits, public agencies and businesses to promote interventions that expand access to nutritious foods. Such interventions include helping grocery stores, small businesses, and other retailers provide healthy food options in lower-income communities. Interventions may also include helping improve supply chains to bring fruits, vegetables, and other healthy foods from rural agricultural areas to urban stores and markets. Private sector investments are a critical part of this initiative’s success, since they provide up-front capital and sustain the investments until these stores have a chance to establish themselves in the community and build a strong customer base.

In addition to these new resources, communities can access existing Federal grant and loan programs, as well as state, local, and private funds to create market opportunities for producers and to support regional planning systems that ensure greater access to healthy food in underserved areas. Resources include USDA’s Farmers’ Market Promotion Program, Specialty Crop Block Grants, Community Food Projects, Community Facilities Program, Business and Industry Guaranteed Loan Program, Healthy Urban Food Enterprise Development Center, and Sustainable Communities Regional Planning grants; HHS’ Community Economic Development Program; and the U.S. Department of Housing and Urban Development’s Community Development Block Grant and Choice Neighborhood initiative.

Land Use and Food System Planning

Many communities have had an opportunity to promote access to fresh foods and urban agriculture as a component of their land-use and food system planning processes. Across the country, projects are helping to create, enable, and fund community garden and urban agriculture programs, and developing zoning and permitting processes friendly to urban agriculture and healthy food access. Community gardens can provide culturally significant foods not available in local grocery stores. For example:

- The city of Fresno changed its zoning ordinance to allow farmers markets in all non-residential and certain single-family residential zones. Now, Fresno planners want to plan for urban agriculture in newly developing areas.

- Vendors in Kansas City who sell healthy foods pay a reduced permit fee. City planners also list recommended and excluded products for public vending in the city’s parks and recreational areas.

- New York City uses a combination of incentives and restrictions to get green produce carts in areas of the city with the least access to fresh fruits and vegetables.

- Detroit and Cleveland have reclaimed acres of vacant land and lots for community gardens.
Recommendation 4.2: Local governments should be encouraged to create incentives to attract supermarkets and grocery stores to underserved neighborhoods and improve transportation routes to healthy food retailers. Incentives could include tax credits, grant and loan programs, and small business or economic development programs. Communities could also develop zoning requirements that create safe, non-motorized routes such as sidewalks, pedestrian malls, and bicycle paths between all neighborhoods and supermarkets, grocery stores, or other retailers who sell healthy food.216 Local communities can also commit job training resources to ensure that a well-trained workforce is available for healthy food retailers who are considering locating in their area.

Recommendation 4.3: Food distributors should be encouraged to explore ways to use their existing distribution chains and systems to bring fresh and healthy foods into underserved communities. The private supply chains that have been developed to bring healthy foods to restaurants and less healthy items to corner stores and grocery stores should be deployed to bring healthy foods to communities that lack these retail options. USDA, as part of its Know Your Farmer, Know Your Food initiative, can also play a role in developing food hub distribution centers to increase opportunities for regional distribution.

Recommendation 4.4: Encourage communities to promote efforts to provide fruits and vegetables in a variety of settings and encourage the establishment and use of direct-to-consumer marketing outlets such as farmers’ markets and community supported agriculture subscriptions. Options that communities could consider include the following:

• Promote the use of WIC cash value vouchers, WIC and Seniors Farmers’ Market coupons, and SNAP benefits in farmers markets and other settings where fruits and vegetables are sold;

• Fund outreach, education, and transportation to encourage residents of lower-income neighborhoods and nutrition assistance program participants to use farmers’ markets and farm stands;

• Use land use policies to promote, expand, and protect potential sites for community gardens and farmers’ markets such as vacant city-owned land or unused parking lots;

• Develop community-based group activities that link procurement of affordable, healthy food with improving skills in purchasing and preparing food;

• Provide incentives to purchase and sell local native-grown produce to Indian schools and communities; and

• Consider the adoption of ordinances or by-laws that promote healthy food vendors and mobile fruit and vegetable vendors in low-income and geographically isolated neighborhoods.

Recommendation 4.5: Encourage the establishment of regional, city, or county food policy councils to enhance comprehensive food system policy that improve health. Experience in some communities has shown that food policy councils can bring together citizens and government officials to examine state or local food systems. This unique form of civic engagement assembles diverse food system stakeholders to develop food and agriculture policy recommendations.

Recommendation 4.6: Encourage publicly and privately-managed facilities that serve children, such as hospitals, afterschool programs, recreation centers, and parks (including national parks) to implement policies and practices, consistent with the Dietary Guidelines, to promote healthy foods and beverages and reduce or eliminate the availability of calorie-dense, nutrient-poor foods.
Healthy Foods in Our Parks

Healthy food is often hard to come by on America’s public lands. Traditionally, concessioners have provided visitors with a limited number of options, many of which are calorie-laden and highly processed. In cafeterias and snack stands across the National Park System, concessioners are beginning to provide a broader range of healthy, organic, and local foods. The Park Service administers approximately 600 concessions contracts across its 392 units, grossing about $1 billion annually. Recently, individual parks have taken the lead in working with their concessioners to revamp and revitalize traditionally limited, menus.

At Golden Gate National Parks’ Muir Woods Trading Post, Ortega Family Enterprises provides a range of low-sugar, reduced-calorie, and organic food, much of which is locally sourced. All of these items are affordably priced and have raised profits for the park and the concessioner alike. Similarly healthy offerings can be found at the company’s other National Park outposts in New Mexico. Healthful concessions are also found in Yellowstone and Grand Teton National Parks, which offer a range of all-natural and vegetarian options. Far away from the big western parks, the Statue of Liberty boasts a range of healthy options in a densely populated urban area. Baltimore’s Fort McHenry is preparing to open a new cafeteria based on a model school lunch program. And on the National Mall, concessioners are now serving low-fat snacks like fruit and yogurt and lunches like vegetable hummus wraps.

Benchmarks of Success

Eliminate food deserts in America in seven years. To monitor progress towards this goal, USDA will estimate the number of people in low income areas more than a mile from a supermarket or large grocery store (10 miles in rural areas) every three years beginning in 2012, using demographic data from the American Community Survey and store location information from commercial sources and USDA’s directory of stores authorized to accept SNAP benefits.

B. Food Pricing

Prices have a large effect on consumer choices. Consumer behavior has shifted as food prices have declined and low cost, energy-dense foods have become more convenient. Technological advances have made food cheaper. One study found that food prices dropped in comparison to all other goods, over a 50 year period. But these price advantages do not extend to all types of food. Over the last 30 years, prices for fruits and vegetables increased nearly twice as fast as the price of carbonated drinks.

An increase in the price of fruits and vegetables relative to less healthy foods can reduce consumers’ incentives to purchase fruits and vegetables, resulting in less healthy diets. Some analyses suggest that energy-dense foods composed of refined grains, added sugars, or fats remain the lowest-cost option to the consumer. It is widely believed that American consumers have seen a significant increase in prices of fruits and vegetables alongside a decrease in the prices of foods that contribute to obesity. Because of quality improvements in fresh fruits and vegetables, however, it is difficult to draw conclusions about the trends in prices and incentives. One recent analysis found that prices declined for commonly consumed fresh fruits and vegetables for which quality has remained fairly constant, as well as for snack foods. This evidence suggests that the price of a healthy diet has not changed relative to an unhealthy one.
Nonetheless, it is not disputed that the prices of some unhealthy foods have fallen, and that prices play a significant role in consumer choices.

Prices change over time for a variety of reasons. Better production and distribution technologies generate more and better goods, driving down prices. Foods that once were available only seasonally are now available year-round. Advances in food processing and packaging have introduced a multitude of ready-to-eat foods, available virtually anywhere and anytime. Farm programs have been designed to stabilize crop prices for farmers and may affect production decisions, commodity prices, and ultimately the prices consumers pay. State and local sales taxes imposed on soft drinks, candy, and snacks raise their cost relative to other food purchases. Nutrition assistance programs subsidize meals for millions of low-income Americans, reducing the relative price of food compared to other consumer needs. As a result, there are many opportunities to affect the cost of healthy food.

Studies suggest that if the price of a particular food increases or decreases, consumption will decrease or increase. Research has found increases in purchases of healthier foods when prices are reduced, and decreases in purchases of less healthy foods as prices increase. The potential influence of food prices on consumption necessitates consideration of the extent to which changes in farm, tax, and subsidy policies might affect consumption patterns.

**Agriculture Policy**

Since the Great Depression, American farm policy has been designed to stabilize crop prices, keep farmers producing food and fiber, and provide American families with an abundant, affordable, and reliable food supply. Eligible farmers receive support through a variety of Federal programs. Nearly all the subsidies go to growers of five commodities: soybeans, corn, rice, wheat, and cotton. In comparison, relatively few subsidies support fruit and vegetable farmers, although the Food, Conservation, and Energy Act of 2008 included, for the first time, $1.3 billion in new funding over 10 years for specialty crops—fruits, vegetables, and nuts—and increased programs that support local agriculture and healthy foods. It also included a pilot project to allow planting of fruits and vegetables on base acres for USDA’s farm commodity price and income support programs in a limited number of states.

Additional studies should be conducted to determine whether current agricultural policy has an impact on the availability and pricing of different types of food and American diets. For example, the orientation of farm program payments toward a group of commodities may have an impact on the composition of the food supply and the relative availability of certain commodities. USDA’s Economic Research Service has estimated that to establish a sufficient supply of fruits and vegetables for all Americans to meet the Dietary Guidelines, U.S. producers would have to more than double their fruit acreage (from 3.5 million acres today, to 7.6 million) and increase vegetable acreage by nearly one and a half times (from 6.5 million acres today, to 15.3 million).

In addition, some research on the link between obesity and farm programs finds that our farm programs have had small and mixed effects on farm commodity prices, resulting in smaller effects on relative retail prices. Over the past 15 years, most farm subsidies have been in the form of direct income support that is not connected to actual production. One analysis found that direct income support did not significantly affect the affordability of food, either on the whole or within food groups.
While farm subsidies have lowered some commodities’ prices, their effects may be countered by policies that restrict acreage or production, which potentially increase prices. In addition, the cost of farm commodities represent only a small and shrinking share of the cost of retail food products, on average less than 19%, making changes in commodity prices translate to small changes in the prices consumers pay.\textsuperscript{224} One study found, for example, that the corn content of high fructose corn syrup represented about 1.6\% of the value of soft drink manufacturing costs and sugar just 0.1\%. In turn, small changes in prices are likely to induce only small changes in consumption.\textsuperscript{225} As noted above, however, further research can help respond to the underlying questions.

**Tax Policy**

The Institute of Medicine and others have recommended that governments implement a tax strategy to discourage consumption of foods and beverages that have minimal nutritional value as a step in the fight against childhood obesity. Based on the notion that consumers will respond to the increased food cost by reducing their consumption, a tax could generate considerable revenue to fund obesity-fighting programs.\textsuperscript{226} Many states already tax caloric-sweetened food and beverages.

The effectiveness of taxing food purchases primarily depends on the degree to which consumers are aware of and respond to changes in food prices. One review suggests that the percentage change in consumption is generally smaller than the percentage change in price.\textsuperscript{227} Consumers may, however, be fairly responsive to a price change in caloric-sweetened sodas, fruit drinks, and sports drinks. The extent of the response would certainly be affected by the size of the change in price. Recent research indicates that current state-level tax rates on soda purchases have had a relatively small impact on adolescent\textsuperscript{228} and adult weights.\textsuperscript{229} But a higher tax rate would likely have a greater impact on consumption, as evidenced by the effects of the substantial rise in tobacco taxes.\textsuperscript{230}
### Retail State sales and vending machine “snack tax” rates, 2009

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<th>Number of States</th>
<th>Sales Tax</th>
<th>Vending Machine Tax</th>
<th>Number of States</th>
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<td></td>
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<td>Min</td>
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<td>Average</td>
<td>Min</td>
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<td>3.7</td>
<td>1.0</td>
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<td>5.0</td>
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Source: Bridging the Gap: [www.impacteen.org/obesitystatedata.htm](http://www.impacteen.org/obesitystatedata.htm)

### Subsidy Policy

Providing incentives or subsidies to encourage greater consumption of healthier food choices offers an alternative to taxes on foods of limited nutritional value. In experiments, targeted price changes have increased purchases of healthier snacks from vending machines. For example, a 50% price reduction on fresh fruit and baby carrots in two secondary school cafeterias resulted in a four-fold increase in fresh fruit sales and a two-fold increase in baby carrot sales.\(^{231}\) Another experiment used a simple color-coded label of red (least healthy), yellow, or green (most healthy) based on fat and calorie content and added a five cent “tax” (approximately 8% of the product’s value) on each red item. After one year, this resulted in a 5% decrease in sales of least healthy items, a 16% increase in the sale of most healthy items, and overall sales increased as well.\(^{232}\)

A recent study on the effect of price subsidies on healthy food consumption among SNAP participants suggests that a 10% subsidy for vegetables and fruits would increase vegetable consumption from 1.26 cups to 1.33 cups per day, and fruit consumption from 0.89 cup to 0.97 cup. These increases in consumption bring individuals closer to the recommended levels, closing the gap by 4.7% for vegetables and 7% for fruits.\(^{233}\)

Since 2005, a group of farmers markets, foundations, local governments, and nonprofit organizations have collaborated on pilot incentive programs to expand USDA programs to improve the health and nutrition of low income families and their children. Wholesome Wave’s Double Value Coupon Program increases the value of SNAP and other program benefits when used at participating farmers markets, reducing the cost of fruits and vegetables for low-income participants. Initiated in 2008, the program has expanded to more than 60 markets in 12 states and the District of Columbia. Early results from participating farmers markets often indicate a 300% increase in SNAP and WIC use at farmers markets with the introduction of double voucher incentive programs.\(^{234}\)
Recommendation 4.7: Provide economic incentives to increase production of healthy foods such as fruits, vegetables, and whole grains, as well as create greater access to local and healthy food for consumers. The upcoming reauthorization of programs governed by the Food, Conservation and Energy Act of 2008 provides another opportunity to strengthen Federal farm and food policy to help meet the needs of all Americans.

Recommendation 4.8: Demonstrate and evaluate the effect of targeted subsidies on purchases of healthy food through nutrition assistance programs. Through the Healthy Incentives Pilot, the Food, Conservation, and Energy Act of 2008 provided $20 million to determine if incentives provided to SNAP recipients at the point-of-sale increase the purchase of fruits, vegetables, or other healthful foods. The evaluation of this pilot, still in the early development stages, will offer solid evidence on whether a financial incentive can influence fruit and vegetable purchases and consumption.

Recommendation 4.9: Analyze the effect of state and local sales taxes on less healthy, energy-dense foods based on nutrient content or categories with low nutritional value (such as soft drinks, candy, snack foods, and fast foods).

Benchmarks of Success

By 2020, increase the availability of fruits and vegetables in the American food supply by 70%, or 450 pounds per person per year. In 2008, the American food supply included 643.6 pounds of fruit and vegetables per person—about 251 pounds of fruit and 393 pounds of vegetables. A recent USDA analysis suggested that to bring American diets into alignment with recommendations in the 2005 Dietary Guidelines, consumption of fruit would have to increase by 132%, and consumption of vegetables would have to increase by 31%. The increased supply of fruit and vegetables needed to support these consumption changes would total 1,096 pounds per person—an increase of 453 pounds, or over 70%.

USDA prepares these estimates of fruit and vegetables in the food supply on an annual basis, drawing on data from a variety of government and private sources, including farm production and stocks information from the Census of Agriculture, trade information from the U.S. Census Bureau and USDA’s Agricultural Marketing Service, and information on processed products from trade association reports. Per capita estimates are calculated using population estimates for that particular year.

C. Product Formulation

In addition to ensuring access to supermarkets and grocery stores, these stores must also provide healthy options at affordable prices. Consumer demand plays an essential role in the range of foods available, yet decisions that the food and agriculture industries make in responding to these demands determine what is on store shelves. Parts of the food industry are undertaking efforts to reformulate products, and through concerted efforts, the marketplace can move faster and farther. To address the obesity crisis, we must expand and accelerate efforts to reformulate products, particularly those aimed at kids, so they have less fat, salt, and sugar, and more of the nutrients children need.
It is easy for companies to take advantage of the human craving for sugary, fatty, salty foods by creating products that are sweeter, richer, and saltier than ever before. Doing so does not just respond to people’s natural inclinations, it also helps shape them. This is particularly dangerous for our Nation’s children, as these foods become embedded in their life-long eating habits.

There is another choice. Just as we can shape children’s preferences for high-calorie, low-nutrient foods, we can also shape their preference for high-quality, healthier foods. Making this a reality requires a serious industry-wide commitment to provide parents with healthier food options at affordable prices.

The food industry has shown that it can respond to new consumer demands, including demands related to health and nutrition. In 2008, manufacturers introduced about 23,000 new products, with claims such as “natural,” “fresh,” “organic,” “no preservatives,” “low or no trans fat,” and “high vitamin,” used to market about 25% of these new products. In the competition for health-conscious consumers, processed food manufacturers quadrupled the average number of new whole-grain products introduced between 2001 and 2006.

Rather than finding creative ways to market existing products as healthy, we must develop new products proven to be healthy—products that help shape the health habits of an entire generation. Products like baby carrots and apple slices have proven appealing to children, as well as whole grains. Developing and marketing more of these products, as well as reducing sugar in items popular with children like flavored milk or yogurt, help children form healthy habits and ultimately, combat the obesity trend.

**Recommendations**

**Recommendation 4.10: The food, beverage, and restaurant industries should be encouraged to use their creativity and resources to develop or reformulate more healthful foods for children and young people.**

- Industries should be encouraged to shift product portfolios to promote new and reformulated child-oriented foods and beverages that are substantially lower in total calories, fats, salt, and added sugars, and higher in nutrient content. This should be informed by research about which products are favored by children, and in particular, by children at high risk for obesity.

- Restaurants should be encouraged to expand and actively promote healthier food, beverage, and meal options for children, and be attentive to the effects of plate and portion size, as noted in Chapter II.

**Benchmarks of Success**

**Increase new product introductions that are consistent with dietary recommendations and substantially lower in total calories, fat, salt, and added sugars.** Proprietary data sources can be used to monitor the number and percent of annual product introductions with healthier formulations, such as low fat, no trans fat, low or no sodium, low or no sugar, added calcium, or reduced calories per serving. Over time, it should be possible to monitor consumer purchases of these new product introductions to determine whether they have become a larger share of purchases and intake, using commercial data.
D. Hunger and Obesity

In 2008, approximately 49 million people, including 17 million children, live in households struggling to put enough food on the table. In over 500,000 households, children skipped meals or ate less than needed because of lack of resources. Scholars are increasingly discussing the possible correlation between weight status and food insecurity. This association seems paradoxical, since food insecurity results from inadequate resources to purchase enough food and obesity is a consequence of consuming too much. Still, a number of studies have suggested a possible correlation between food insecurity and obesity, especially in women.

This relationship may exist because the low cost of nutrient-poor, energy-dense foods promotes over-consumption of calories, leading to weight gain. To maintain adequate energy intake, people who must limit food costs may select lower-quality diets, consisting of high-energy, inexpensive foods. People eat fewer fruits and vegetables as food insecurity worsens. Food insecurity may also lead to various psychological and behavioral changes, such as a preoccupation with food, stress, depression, and physical limitations in adults—all of which can lead to an increased risk for obesity. In addition, because many food-insecure households receive assistance from one or more Federal nutrition assistance programs, it is important to consider whether these programs contribute to the obesity/food insecurity paradox, or help solve the paradox by providing access to healthier food, incentives for healthier choices, and effective nutrition education.

USDA administers 15 Federal nutrition assistance programs as our Nation’s first line of defense against hunger, including those mentioned above—the Supplemental Nutrition Assistance Program (SNAP, formerly food stamps), the National School Lunch Program (NSLP), the School Breakfast Program (SBP), and the Special Supplemental Nutrition Program for Women, Infants, and Children (WIC). The Federal government will invest more than $80 billion in the national nutrition safety net in fiscal year 2010, subsidizing meals and food purchases for more than 1 in 4 Americans. One-half of all children will participate in SNAP alone at some point during their childhood, including 90% of African-American children.

Given these programs’ extensive reach, it is important that they be part of the solution to childhood obesity. Data from the National Health and Nutrition Examination Survey show that generally there are more similarities than differences in the nutrient intakes, diet quality, and food choices of program participants compared to the rest of the American population. A thorough assessment of the research in this field shows that WIC and school lunch and breakfast programs do not contribute to childhood obesity.

It remains important to ensure that those who are eligible for and in need of assistance have ready access to these programs. While these programs serve millions of low-income Americans, some people who need benefits do not participate; over one-third of individuals eligible for SNAP do not participate, and 40% of those eligible for WIC do not participate. They may not be aware they are eligible, may not realize the size and value of benefits, or may find applying difficult or burdensome. Similarly, although meal programs exist in thousands of schools across the country, not all eligible children participate in the NSLP, and even fewer participate in the SBP. School lunch is served in around 100,000 schools, while the breakfast program is only available in 88,000 schools and almost two-thirds of children who eat a school lunch do not receive a school breakfast. Some bring healthy food from home, but others, especially in high schools, may forego a nutritious lunch or breakfast entirely.
Is Poor Diet a Low Income Problem?

In general, when one looks at both the food choices and the diet quality of nutrition program participants and other consumers, the similarities are more striking than the differences:

- A recent analysis of nutrition monitoring data (Cole et al., 2008), comparing the diets of participants in SNAP, WIC, and the school meals programs with nonparticipants and higher income consumers show that the diets of all groups fall far short of the Dietary Guidelines for Americans. All groups had very low intakes of whole grains, dark green and orange vegetables, and legumes, and high intakes of fat, saturated fat and added sugars.

- With regard to the shopping practices of SNAP participants specifically, consumer expenditure data suggest that they tend to buy the same categories of foods as other consumers. These differences are minor even though families at the high end of the income distribution spend over twice as much on food at home as those at the low end.

Nonetheless, some differences do appear to be linked to income:

- In 2003-04, people in low-income families had significantly lower intakes of total vegetables, dark green and orange vegetables and legumes, and whole grains than did higher income families.

- People in low-income families, compared with their counterparts, had lower (i.e. more healthful) intakes of sodium than higher-income families.

- The only significant difference in the quality of children’s diets by family income, as measured by the Healthy Eating Index, was that low-income children had a higher score for total vegetables. This may reflect low-income children’s greater participation in the National School Lunch Program (Guenther et al. 2008).

In general, the critical lesson from this evidence is that while some income-linked factors, such as improved access to healthy options, hold promise to support and influence better diets, almost all Americans, no matter their income need to make significant changes in their eating behaviors to promote good health.


Recommendations

**Recommendation 4.11: Increase participation rates in USDA nutrition assistance programs through creative outreach and improved customer service, state adoption of improved policy options and technology systems, and effective practices to ensure ready access to nutrition assistance program benefits, especially for children.** Improved policies and effective practices include streamlined and more timely application process, greater use of broad-based categorical eligibility and direct certification, and reductions of barriers to participation such as finger imaging. Access to feeding programs for children throughout the year can also be expanded by engaging state, local, Tribal, community leaders, and partnerships with allied organizations, advocacy groups, and communities.
IV. ACCESS TO HEALTHY, AFFORDABLE FOOD

Benchmarks of Success

Increase participation among people eligible for SNAP benefits to 75% by 2015. USDA estimates annual participation rates based on the Annual Social and Economic Supplement to the Current Population Survey and administrative data on SNAP participants. The SNAP participation rate was 65.8% in 2007.

Increase National School Lunch Program participation by 2 million additional children (up to 60% of all students) and School Breakfast Program participation by 3 million additional children (up to 25% of all students) by 2015. USDA monitors participation in the school meal programs through periodic reporting by State administering agencies; information on school enrollments is available annually from the U.S. Department of Education. In 2009, 56% of enrolled students participated in lunch and 20% participated in breakfast.

Key Questions for Future Research

Making research in this area a priority may help to identify the relationship between access and consumption of healthy foods, as well as the causal links between access and diet related health outcomes. Key issues to address with future research investments include:

- The definition, measurement, and consequences of food deserts on food access, diet, and weight outcomes;
- The impact of improved access on dietary quality and obesity rates;
- How agricultural policy may affect food prices and obesity rates;
- The comparative efficacy of sales taxes and price subsidies on weight outcomes; and
- The effectiveness of price incentives, including supplements that increase the value of farmers’ market purchases or incentives to promote the purchase of fruits, vegetables, and other healthful foods, especially for low-income populations;

In addition, there is need for tools proven to help communities assess their progress in helping residents eat healthy foods, increase their knowledge of potential steps to promote healthy eating and good nutrition among community residents, as well as identify and define influential actions. This includes tools that connect to and use existing databases (such those that track which products are being sold at grocery stores, through UPC codes) to help communities, industry, and policymakers assess progress in shifting toward increased consumption of healthy foods.

Finally, focusing investments in food technologies, research, and development may help to identify and produce new and reformulated child-oriented foods and beverages that are substantially lower in total calories, fats, salt, and added sugars.
V. Increasing Physical Activity

Physical activity is an essential component of a healthy lifestyle. In combination with healthy eating, it can help prevent a range of chronic diseases, including heart disease, cancer, and stroke, the three leading causes of death. Risk factors for these diseases can begin early in life and be mitigated early in life by adopting regular physical activity habits. Physical activity helps control weight, builds lean muscle, reduces fat, and contributes to a healthy functioning cardiovascular system, hormonal regulatory system, and immune system; promotes strong bone, muscle and joint development; and decreases the risk of obesity. Research has also found that physical activity is related to improvements in mental health, helping to relieve symptoms of depression and anxiety and increase self-esteem. In addition, some studies show that physical activity is correlated with improved academic achievement.

Physical Activity Guidelines for Children and Adolescents

Children and adolescents should get 60 minutes (1 hour) or more of physical activity daily.

- **Moderate- or vigorous-intensity aerobic** physical activity (such as running, hopping, skipping, jumping rope, swimming, dancing, and bicycling) should comprise most of the 60 or more minutes a day. Vigorous-intensity physical activity should be included at least 3 days a week.
- **Muscle-strengthening** physical activity (such as playing on playground equipment, climbing trees, playing tug-of-war, lifting weights, or working with resistance bands) should be included at least 3 days of the week.
- **Bone-strengthening** physical activity (such as running, jumping rope, basketball, tennis, and hopscotch) should be included at least 3 days of the week.

Young people should be encouraged to participate in physical activities that are appropriate for their age, that are enjoyable, and that offer variety. For more information, see [http://www.health.gov/paguidelines/](http://www.health.gov/paguidelines/).

According to the *Physical Activity Guidelines for Americans*, children and adolescents should participate in physical activity for at least 60 minutes every day. The Physical Activity Guidelines, developed in 2008 by a committee of experts convened by the U.S. Department of Health and Human Services, provide science-based guidance for Americans ages six and older. They recommend that children's activity time include moderate to vigorous aerobic activity, muscle strengthening, and bone-strengthening exercises, and that young people should be encouraged to participate in age-appropriate, enjoyable, and diverse activities. There are many examples of physical activity, including not only team sports but also walking, biking, swimming, hiking, dancing, gardening, and many other group or individual activities. Recreational activities enjoyable to youth help ensure that they continue to engage in those activities. For example, a survey of Americans who participated in an outdoor activity found that 90% of them began doing so between the ages of five and 18. Outdoor activities can be enjoyed in a variety of locations and are often less expensive, more accessible, and can foster life-long activity.
Children should have several opportunities to be active throughout the week and year round. Activity should be a normal part of a child’s day, from walking or biking to and from school, where appropriate, to participating in a physical education class, to engaging in active games during recess, after school, or in the summer at home, in a park, or on a playground. All children benefit and gain enjoyment from physical activity, regardless of ability or disability status, gender, or athletic inclinations and talents. The benefits of physical activity extend beyond childhood too—young people who grow up physically active are more likely to be active adults.  

Young people who believe they are competent and have the skills to be physically active are more likely to be active. Likewise, young people who feel supported by friends and families or are surrounded by others interested in physical activity, are more likely to participate in both structured and non-structured activities. These social norms are powerful in determining people’s actions.

Unfortunately, our young people live in a social and physical environment that makes it easy to be sedentary and inconvenient to be active. Social and environmental factors that discourage physical activity include: community design centered around automobiles, limited access to low or no cost physical activity close to home (such as parks, recreation centers, and walking and biking paths); new technology that is sedentary in nature; and increased concerns about safety in neighborhoods. The results are startling. Fewer than one in five high school students meet the current recommendations of 60 minutes of daily physical activity, and a recent study showed that adolescents now spend more than seven hours per day watching television, DVDs, movies, or using a computer or a mobile device like a cell phone or MP3 player. Older adolescents are less likely than younger children to be physically active, and adolescent girls are less likely to be physically active than their male peers. African-American and Hispanic adolescent girls are the least likely to be physically active.

### High School Students Who Get at Least One Hour of Exercise, Five Days a Week

<table>
<thead>
<tr>
<th>Gender</th>
<th>Total</th>
<th>White</th>
<th>Black</th>
<th>Hispanic</th>
<th>Other</th>
</tr>
</thead>
<tbody>
<tr>
<td>Boys</td>
<td>43.7%</td>
<td>25.6%</td>
<td>27.9%</td>
<td>21.0%</td>
<td>21.9%</td>
</tr>
<tr>
<td>Girls</td>
<td>46.1%</td>
<td>38.6%</td>
<td>39.7%</td>
<td>39.7%</td>
<td>39.7%</td>
</tr>
</tbody>
</table>

Source: Centers for Disease Control and Prevention’s National Youth Risk Behavior Surveillance—United States, 2007
There are added barriers for vulnerable populations to become more physically active. Research shows that children with physical, sensory, and cognitive disabilities have substantially greater difficulty participating in regular physical activity, compared with the general population. A recent study showed that youth with physical disabilities had a 4.5 times higher rate of physical inactivity compared to youth without a disability, and they were twice as likely to report watching television for more than four hours per day.\textsuperscript{257} Children with physical and developmental disabilities are significantly more likely to be obese or overweight compared with peers without disabilities of the same age.\textsuperscript{258} However, there is growing awareness that many activity and health disparities reported among children and adults with disabilities are not necessarily a direct result of the disability, but rather a result of the challenges these individuals face in accessing community services and programs. Such challenges include poor accessibility of facilities, services, and programs, as well as environmental barriers such as lack of accessible equipment or transportation. To overcome these barriers and reduce disparities in physical activity, public schools must ensure that services, supports, and programs, including health and physical education programs, are equally accessible to eligible students with disabilities as to those without disabilities, pursuant to the Individuals with Disabilities Education Act (IDEA) and Title II of the Americans with Disabilities Act (ADA).\textsuperscript{259} Many children who do not attend school or live in traditional community settings, such as those in juvenile detention and correctional centers, also have fewer opportunities for physical activity and consequently are less active.\textsuperscript{260}

\textbf{Community Resources for Engaging Young People with Disabilities in Physical Activity}

\textbf{BlazeSports America} is a direct legacy of the 1996 Paralympic Games held in Atlanta, Georgia. This organization, with school and community programs in all states, includes sports, camps, and athletic competitions that seek to increase independence, improve health, and enhance overall quality of life for youth and adults with physical disabilities. The organization provides support and toolkits for schools and communities to help develop comprehensive programs and create opportunities for including students with disabilities in athletics and physical activity, including an equipment loan program. With programs geared for individuals throughout the lifespan, BlazeSports provides programs and services that moves children and youth through a continuum, starting with lessons on active play and teaching lessons on being active for life. More information on BlazeSports America can be found at: \url{http://www.blazesports.org}.

Another nonprofit organization, the National Center for Physical Activity and Disability, offers a searchable database of programs by geographic region for students with disabilities at: \url{http://www.ncpad.org/programs/index-title.php?letter=A}.

Much of the existing research suggests that coordinated, multi-component programs and policies are necessary to effectively change social norms, environments, and behaviors.\textsuperscript{261} As part of a comprehensive approach to encourage young people to be more active, schools and communities should be encouraged to adopt common and consistent policies, practices, and expectations regarding these goals. They should also provide opportunities for healthy lifestyle choices in all childhood settings before, during, and after school, as well as on weekends, holidays, and school vacations.\textsuperscript{262} This requires changes at all levels, including individual changes; choices, attitudes, and behaviors about physical activity; the structure of school days; teaching approaches; the physical environments of communities;
This chapter details what can be done to increase children's physical activity levels:

- in schools and in activities outside of school;
- in the community with the built environment;
- to improve the accessibility of parks and playgrounds; and
- in indoor and outdoor recreational settings.

**A. School-Based Approaches**

Schools are a key setting to focus on, given the significant portion of time children spend there. Schools can undertake a combination of strategies and approaches to help children be more active including:

- Creating infrastructure and policies that increase access to and encourage physical activity for all students;
- Collecting valid and reliable data and using analytical tools and systems to understand student needs and fitness levels, and promoting approaches that are effective in changing physical activity behaviors and, ultimately, health outcomes;
- Maintaining strong physical education (PE) programs that engage students in moderate to vigorous physical activity for at least 50% of PE class time;
- Providing a variety of activities and specific skills so that students can be physically active not just during class but throughout the day and year; and
- Providing qualified school professionals who are trained in teaching methods to engage students in PE, including for students who face greater barriers to activity.

Most physical activity for students can be provided through a comprehensive school-based physical activity program. Such a program is anchored in quality PE and complemented by activities before, during, and after school, as well as in recess, other physical activity breaks, intramural and physical activity clubs, interscholastic sports, and walk and bike to school initiatives. These initiatives should not take the place of PE, but should reinforce lessons taught in PE by providing opportunities to practice and apply the skills learned.

The components of a comprehensive physical activity program, as identified by the CDC and the National Association for Sport and Physical Education, are described in more detail below. Although the approach focuses on schools and school-related interventions, many community sectors play a role in design, implementation, or evaluation of these interventions.

Schools are an important setting because of access to young people and the school's influence on behavior, as well as the potential impact physical activity has on learning, cognition, and academic achievement. A recent study conducted by the CDC reviewed all relevant literature on the impact on education-related outcomes of school-based PE, recess, classroom-based physical activity, and extracurricular physical activities. Their analysis found that spending time on these four physical activity
approaches had either a positive effect on academic achievement or, at minimum, did not detract from academic outcomes. Specifically:

- Eleven of the 14 studies on school-based PE showed one or more positive associations between this intervention and indicators of academic performance;
- All eight studies on recess found one or more positive association between recess and indicators of cognition, emotion, and academic behaviors, as well as a positive or no effect on children’s attention, concentration, and/or on-task classroom behavior;
- Eight of nine studies on classroom physical activity, either through physical activity breaks or learning activities designed to promote learning through physical activity, found positive associations between these activities and indicators of cognitive and academic behavior; and
- All 19 studies examining the relationship between participation in extracurricular physical activity, including afterschool sports or other afterschool physical activity programs, and academic performance found one or more positive associations.

Some school leaders have expressed concerns that a comprehensive physical activity program is too expensive, particularly during difficult economic times and tight budgets. However, there are many low-cost or no-cost steps that school leaders can take to improve the physical activity environment for all students and to promote student health without compromising academic pursuits.

**Policies that Support a Comprehensive Physical Activity Program**

Local wellness policies, required by USDA for all school districts participating in a school-based meal program, can be used to create district guidelines that can significantly impact physical activity requirements for students. There are many examples that individual school districts can draw from as they develop or refine their wellness policies. They range from specifying minutes of PE or recess requirements, to restrictions on the use of physical activity as punishment. For example, Michigan provides a model local wellness policy for its school districts, combining a quality PE program with a coordinated school health framework and presenting ideas such as recess before lunch and extra physical activity breaks as a reward instead of food snacks. However, a recent nationwide study that assessed the effectiveness and relative quality of local wellness policies showed that only 18% of policies reviewed addressed recess and most did not address PE. Physical activity was often the weakest component of the wellness policies.

Effective implementation of wellness policies is also critical. For example, a study conducted during the first year of local wellness policy implementation in rural Colorado revealed that policies were poorly or weakly worded, and ultimately, had little to no effect on physical activity. Competing pressures, lack of support and resources, and no monitoring or accountability were cited as reasons for the policies’ relative weakness.
Physical Education (PE)

Physical Education (PE) is considered the cornerstone of a school-based comprehensive physical activity program. It provides the basis and opportunity for young people to gain the knowledge and skills needed to maintain physically active lifestyles throughout childhood and into adulthood. A quality PE program can increase student participation in physical activity, increase their physical fitness, and enhance their understanding about the purpose and methods of physical activity. Participation in daily PE is associated with an increased likelihood of participating regularly in moderate to vigorous physical activity.

The evidence strongly supports the correlation between school-based PE and increasing physical activity rates. The Task Force on Community Preventive Services is an independent, non-federal, volunteer body of public health and prevention experts tasked with reviewing evidence for interventions, providing recommendations that promote health, and identifying areas for further research. This group reviewed the literature and found that enhanced, school-based PE is an effective strategy for increasing physical activity among young people.

The National Association for Sport and Physical Education recommends daily PE from kindergarten through grade 12, suggesting 150 minutes per week for elementary schools and 225 minutes per week for secondary schools. Pursuant to their findings, a quality PE program should:

- meet the needs of all students;
- be an enjoyable experience for all students;
- keep students active for most of PE class time;
- teach self-management as well as movement skills; and
- emphasize knowledge and skills for a lifetime of physical activity.

One of the resources available to school districts is the Physical Education Curriculum Analysis Tool (PECAT) issued by the CDC, which helps school districts conduct an analysis of their PE curriculum, based on national standards but with an option to customize to include local standards.

Effective school-based PE programs must also take into consideration children who are obese or who have physical or cognitive disabilities, chronic diseases (such as diabetes or asthma), or low levels of fitness. These children may need instruction in PE and physical activity programs to develop motor skills, improve physical fitness, and experience enjoyment and success. Nationwide, 62% of schools had students with long-term physical or cognitive disabilities or chronic diseases. Often, young people who have disabilities or chronic health conditions are discouraged from participating in PE class and other forms of physical activity. For example, among schools with students with physical disabilities, 59% allowed these students to be exempt from enrolling in PE.

Influencing students’ attitudes towards and perceptions of physical activity may affect their involvement in physical activity outside of PE class. Physical education should encourage students to view physical activity as important and enjoyable. Increasing students’ confidence in their ability to engage in physical activity increases the likelihood of enjoyment and therefore, the likelihood of regular par-
V. INCREASING PHYSICAL ACTIVITY

Students are more likely to have positive attitudes towards physical activity if their needs and interests are met through a variety of activities. They should also understand the many benefits of physical activity, and should be able to apply their newly acquired skills through various opportunities.

Another option for engaging students is to incorporate interesting PE lessons that make use of the natural environment. Outdoor education has an added advantage because students who become accustomed to outdoor activity are more likely to be active. Depending on the climate, lessons can include activities as varied as hiking, canoeing, and snowshoeing.

Despite the evidence supporting PE, due to budget pressures and other factors, fewer than one in six schools require at least three days a week of PE for the entire school year for all grades in the school. Only 4% of elementary schools, 8% of middle schools, and 2% of high schools provide daily PE or its equivalent for the entire school year for all students. While most middle schools and high schools require PE, 12% of middle schools and 25% of high schools allow students to be exempt from PE requirements because of participation in school sports. 14% of these middle schools and 20% of these high schools allow students to be exempt from PE for participation in school activities other than sports such as band or chorus.

For many schools, the barriers to incorporating PE during the school day include lack of time and resources, such as trained staff, and competing priorities. As noted above, research has shown that allowing students to participate in PE does not detract from academics but rather may enhance academic achievement.

Some states and school districts already require daily PE for students. Like local wellness policies though, unless these requirements are followed, they may not yield the intended results. In Florida, for example, the legislature enacted a requirement for 30 minutes of PE for elementary schools and daily PE for middle school students beginning in the 2009-10 school year. Some reports on the effects of this policy show that school districts struggle to fully fund daily PE and classes are often too crowded to accommodate all students and meet the requirement.

Outdoor Education through the Department of the Interior

Another strategy for getting kids physically active is to involve them in environmental education programs that involve outdoor activity. Interpretive programs and alternative PE lessons involving activities such as hiking, biking, wildlife watching, and kayaking can make outdoor education good for the body and brain, and at the same time, enhance young Americans’ exposure to and appreciation of our nation’s cultural, historic, and environmental resources. Additionally, the Fish and Wildlife Service and the National Park Service offer curriculum-based teacher training by field stations to classroom teachers and other educators to prepare their students for field trips to national parks, wildlife refuges, fish hatcheries, and other public lands. However, more can be done on the national level to encourage outdoor education on public lands as part of school-based curriculum. These programs also facilitate healthier lifestyles by emphasizing indoor and outdoor activity and exercise.
The Promise of Technology

Innovative and engaging teaching methods can motivate students to participate in PE, particularly those students who are not natural athletes or who do not enjoy “traditional PE.” Some students have been motivated by the use of technology in the PE classroom that enhances individual skills and teaches students how to monitor their own fitness levels. Examples of technologies that have been incorporated into PE classrooms in recent years include heart rate monitors and equipment that combines activity with video or television-enabled games.

The promise of technology is still uncertain, however. Small, initial studies of these types of “exergaming” technologies suggest that these activities can help engage students who would not otherwise engage in activity and may burn more calories than being sedentary, but there are no studies yet showing a long-term effect on changing physical activity behaviors or on the overall impact on weight loss or children’s health. Additionally, these technologies can be prohibitively expensive for school districts to buy and maintain.

Challenges and assessments can also be used to educate children and get them excited about being active. The President’s Challenge (originally established in 1966 as the Presidential Physical Fitness Award) was created at the recommendation of the President’s Council on Physical Fitness and Sports as a free, publicly-available tool intended both for assessment and engagement of children in fitness-enhancing activity. The Challenge has long provided fitness benchmarks for young people by means of a fitness test. However, the data that are used to classify young people into the three award categories are based on a nationwide sampling that may no longer be relevant, given the increased prevalence of overweight and obesity. While the original Challenge program focused primarily on measures of athletic performance, it has been expanded to include physical activity, in the form of the President’s Council also administers the Presidential Active Lifestyle Award (PALA). PALA helps children and adults build healthy habits by committing to regular physical activity five days a week for six weeks. The First Lady’s Let’s Move! campaign has set a goal of doubling the number of children in the 2010-11 school year who earn a PALA award.

Recess for Elementary School Students, Physical Activity Breaks for Older Students

Regularly scheduled recess periods provide another opportunity for children to get part of their recommended 60 minutes of daily physical activity. Recess allows children to apply skills learned in PE (such as decision making, cooperation, conflict resolution, and continued motor skill development). It should not, however, replace PE or be used to meet time requirements set in PE policies. Recess also can provide the opportunity for children to enhance cooperation and negotiation skills, as well as improve attentiveness, concentration, and time-on-task in the classroom. In addition, if recess is scheduled before lunch, students are likely to eat more food, including healthier foods, helping schools meet other desirable goals as well.
Nearly all elementary schools provide regularly scheduled recess for students in at least one grade, but 26% of all elementary schools do not provide regularly scheduled recess for students in all grades. The average length of recess was slightly less than 30 minutes, with more minutes offered for younger students. However, schools with more students from low-income families are even less likely to offer recess to students.

**Incorporating Movement into Curriculum and Classroom Activities**

Schools can offer students breaks for movement during the school day, such as an extra few minutes for students to stretch before the beginning of a class, or integrating activity and movement into lessons. Some schools might offer physical activity in the classroom as part of planned lessons that teach mathematics, language arts, and other academic subjects through movement. These types of activities contribute to students’ accumulated physical activity during the school day. In addition to promoting good health, physical activity within the regular classroom can enhance on-task classroom behavior of students and establish a school environment that promotes regular physical activity.

**Recommendations**

**Recommendation 5.1:** Developers of local school wellness policies should be encouraged to include strong physical activity components, on par with nutrition components.

**Recommendation 5.2:** The President’s Challenge should be updated to ensure consistency with the Physical Activity Guidelines for Americans and to ensure ease of use and implementation by schools. Private sector partners with an interest in physical activity should help enroll children in the Presidential Active Lifestyle Award program.

**Recommendation 5.3:** State and local educational agencies should be encouraged to increase the quality and frequency of sequential, age- and developmentally-appropriate physical education for all students, taught by certified PE teachers. Promising strategies include:

- Research-based curriculum and instructional methods that engage students in moderate to vigorous physical activity for at least 50% of PE class time;
- Instruction in a variety of lifetime fitness activities such as walking, hiking, snowshoeing, rock climbing, water sports, and biking as alternatives to team sports, which can also engage students in long-term outdoor recreation activities while helping to teach principles of activity;
- Partnership with private companies that support local educational agencies through the purchase of necessary equipment for PE classes.

**Recommendation 5.4:** State and local educational agencies should be encouraged to promote recess for elementary students and physical activity breaks for older students, and provide support to schools to implement recess in a healthy way that promotes physical activity and social skill development. Developers of teacher preparation programs should be encouraged to provide instruction on recess management and activity breaks for students. These can include in-service training to teachers and school professionals on actively engaging students, managing recess, and providing supervision during physical activity breaks, if appropriate.
Recommendation 5.5: State and local educational agencies should be encouraged to provide opportunities in and outside of school for students at increased risk for physical inactivity, including children with disabilities, children with asthma and other chronic diseases, and girls. For example, local educational agencies, schools, and teachers should include considerations for ensuring physical activity for students with disabilities in their Individualized Education Plans, pursuant to the IDEA and/or the ADA; State and local educational agencies should be encouraged to follow the National Guidelines for Managing Asthma at Schools; and Title IX should be maintained and enforced to ensure gender equity.

Benchmarks of Success

Increase the number of high school students who participate in daily PE classes to 40% by 2015 and 50% by 2030. Currently, roughly 30% of high school students attend daily PE classes, a rate that has been relatively stable since about 1993. This data can be tracked using the national Youth Risk Behavior Survey. Note that while the quality of PE classes cannot be tracked with current survey instruments, the goal is to increase both quantity and quality. Similar progress for elementary students should be made, and can be tracked if data becomes available.

Increase the percentage of schools that offer recess to all students and grades in elementary schools to 95% in 2015. As of 2006, only 79% of schools offered recess to all of these students. Data are collected every six years through the CDC’s School Health Policies and Practices Survey (SHPPS). The most recent SHPPS data is for 2006; the next study will be done in 2012 and released in 2013.

As noted earlier in this report, it will be critically important to monitor the overall increase in children’s level of aerobic physical activity and muscle-strengthening activity. An enhanced NHANES survey may provide additional data on younger children’s physical activity levels across the U.S. Additionally, the revamped President’s Challenge tool may offer children, families, and teachers an understanding of how their physical activity performance has improved over time.

B. Expanded Day and Afterschool Activities

Expanded day and afterschool programs also offer schools an opportunity to collaborate with community partners to provide programming to many students. These programs can provide additional opportunities for learning, safe environments for students, and enrichment activities that integrate lessons about healthy living and additional opportunities for students to be active. Expanded day and afterschool activities can appeal to a variety of students’ interests, ranging from instruction in such subjects as art and nutrition to engagement in intramural sports and non-competitive lifetime physical activities.

In the school setting, schools may rethink the length and structure of the school day and year, so students have the time they need to succeed, including through activities to improve their physical health, and teachers have the time they need to collaborate and improve their practice. While many of these schools have implemented an expanded day only recently, initial evidence suggests a positive relationship between expanded time programs and academic performance. Research supports the effectiveness of well designed programs that expand learning time by a minimum of 300 hours per school year. Research also demonstrates that students that participate in evidence-based afterschool programs improved participants social and personal skills, as well as academic achievement.
Other activities during the after-school hours can enhance physical activity, including intramural sports and lifetime activities. The National Association for Sport and Physical Education has outlined three characteristics that describe the positive attributes of intramural sports programs: (1) students have a choice of activities or participation; (2) every student is given equal opportunity to participate regardless of ability level; and (3) students have the opportunity to be involved with the planning and implementation of activities. Intramurals can offer students an opportunity to experiment and participate in new activities without having to try out for a team or playing in a high-pressure environment. Almost half of all schools in 2006 offered intramural programs. Some intramural sports programs integrate academic content with physical activity or focus primarily on positive youth development, building social competencies, and connectedness between young people and adults. These types of programs, sometimes referred to as “sports-based youth development programs,” focus on building students’ social and emotional skills, enhancing positive relationships to peers and caring adults, developing youth leadership, and connecting with the broader community, with sports as the basis.

Lifetime physical activities such as walking, running, hiking, swimming, tennis, dancing, and biking can also be fun activities offered by programs and schools, including after-school programs. Activities should provide opportunities for girls and boys; meet the needs of students at all levels of skills and physical abilities, particularly those that are not athletically gifted; and reflect student interest. Programs may combine these activities with other initiatives, such as community service or service learning programs that engage students in meaningful community work. And if schools do not go year around, many of the same program models could be used to increase physical activity in the summer.

The U.S. Department of Education’s 21st Century Community Learning Centers Program provides support for after-school activities to students. The latest U.S. Department of Education data show that the centers served about 1.5 million students in 2008 in almost 10,000 sites and received over $1 billion in FY 2010. While the vast majority of the programs (9 out of 10) operated in schools, school districts were only directly responsible for administering approximately 60% of the programs. The remaining 40% were administered by community-based organizations and other eligible entities, including institutions of higher education, nationally-affiliated non-profit organizations, and for-profit organizations.
The Administration’s Blueprint to reauthorize the Elementary and Secondary Education Act proposes to reform and strengthen 21st Century Community Learning Centers Program by incorporating approaches that better integrate community involvement and comprehensively redesign and expand the school day. In addition to providing additional time for academic work, programs would also explicitly focus on providing enrichment activities, including those that improve mental and physical health, opportunities for experiential learning, and greater chances for families to actively engage in their children’s education.

Physical activity programs at the local level are often offered by a variety of providers, including park and recreation departments within local government, faith-based entities, or community centers like the YMCA or Boys and Girls Clubs. These outside partners can be of particular help in bringing in expertise and experience that might not always exist in schools or districts. Partnerships with parents and community-based organizations may increase the availability and quality of these programs, as well as the time students spend in physical activity. They can also provide additional resources and supports.

In under-resourced communities, schools can provide the physical structure for after school, weekend, and summer programming. Community partners, with the support of local businesses or industry, can provide the personnel, programming, and support for activities. Some programs prefer to maintain relationships without formal agreements, while many others use more formalized agreements to delineate terms of the arrangement. Known as joint use agreements, these arrangements can maximize the school facility and allow students to access playgrounds, gymnasiums, basketball courts, pools, or fields before and after school hours. In practice, these joint use agreements are also forged between schools and non-governmental entities, and include conditions and considerations for liability, a concern often cited by schools. A recent study showed that when a school ground was opened to children, not only were more children active as compared to a similar community, they also reported declines in watching television, DVDs, and movies, as well as playing video games on weekdays.

All students, regardless of gender, race or ethnicity, health status, physical, sensory, or cognitive disability, should have access to physical activity programs. Physical activity programs that overemphasize team sports and do not emphasize lifetime physical activities can exclude potential participants. As noted above in the discussion of PE, effective programs must also take into consideration children who are obese or who have physical or cognitive disabilities, chronic diseases (such as diabetes or asthma), or low levels of fitness. These children may need instruction to develop motor skills, improve physical fitness, and experience enjoyment and success.

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**Soccer as a Building Block for Learning**

America SCORES is an after-school program that combines soccer, creative writing, and service learning. With affiliates across the country, a pilot study of the America SCORES Bay Area in San Francisco showed that participants in the program had statistically significant lowering of BMI. An unpublished national evaluation also showed that participants had an increased level of physical activity, increased reporting of feelings of self-confidence, self-efficacy, and enjoyment in learning, increased reporting of reading enjoyment and longer time reading independently, and gains in writing achievement.

**Interscholastic Sports**

Not surprisingly, participation in sports has been associated with higher levels of participation in overall physical activity.\(^{303}\) One study suggested that adolescent participation in sports decreases cardiovascular risk factors, particularly for older adolescents.\(^{304}\) Additionally, participation in sports programs has been associated with improved mental health and a reduction in risky health behaviors.\(^{305}\) Girls in particular seem to benefit most from team sport participation; two recent studies suggested that girls who participated in athletics were healthier and had improved academic and career outcomes as adults.\(^{306}\) Nationwide, 77% of middle schools and 91% of high schools offered interscholastic sports programs in 2006;\(^{307}\) in 2007, 56% of high school students reported that they had played on at least one sports teams run by their school or a community group.\(^{308}\) With the economic challenges facing school districts over the last few years, some administrators have cut or considered cutting team sports. Some research suggests that as much as $2 billion was cut from youth sport program budgets nationwide in 2008.\(^{309}\) Other school districts have instituted “pay-to-play,” which requires students to pay a fee to play on a sport team. According to a recent assessment by the National Federation of State High School Associations, 33 states have schools with pay-to-play programs.\(^{310}\) A recent study showed that fees up to $100 cause a 10% decrease in participation, while fees up to $200 cause a 20% decline.\(^{311}\) Costs can vary dramatically depending on the school district; in some districts, the price is capped and in others, participation is expensive. For example, in one district, it can cost as much as $282 to run cross-country, $508 to play field hockey, and $969 to play football.\(^{312}\) For some students, particularly low-income students, this fee is impossible to pay and excludes students from engaging in sports.

**Recommendations**

**Recommendation 5.6:** Federal, state, and local educational agencies, in partnership with communities and businesses, should work to support programs to extend the school day, including afterschool programs, which offer and enhance physical activity opportunities in their programs.

- Districts, schools, local government, community-based organizations, and local businesses should partner together to create or enhance expanded day and afterschool programs that incorporate physical activity.
- Support should be provided to expand participation in intramural sports programs and non-competitive physical activity clubs that appeal to students who are not athletically gifted.
- Entities that accredit afterschool programs should include guidelines for incorporating age- and developmentally-appropriate physical activity in accreditation standards.
- State and local educational agencies should explore and execute joint use agreements to secure school facilities for out-of-school time activities and provide age- and developmentally-appropriate programming for students.
The Federal government should support state, local educational agencies, and nonprofit organizations by providing resources, including funding and technical assistance, to promote high quality after school programs and programs that comprehensively redesign and expand the school schedule for all students, and full-service community schools through the 21st Century Community Learning Centers program. Technical assistance should also focus on innovative methods that ensure inclusive opportunities to promote physical activity for students with disabilities, as well as strengthening partnerships.

**Recommendation 5.7:** State and local educational agencies should be encouraged to support interscholastic sports and help decrease prohibitive costs of sports by curbing practices such as “pay-to-play,” working with other public and private sector partners.

- The Federal government should continue to support programs, such as those sponsored by the Corporation for National and Community Service, that train and develop more qualified coaches for intramural sports teams and programs, and should collaborate with state, and local governments, nonprofits, philanthropies, and private sector partners to ensure that these programs are more widespread.
- State and local governments should consider strategies to make facilities and coaches more available for local youth sports teams.
- College and university sports teams should engage K-12 teams to increase opportunities for young people to learn about sports and receive coaching, and local sports figures and businesses should become more involved in supporting or sponsoring K-12 sports teams.

### C. The “Built Environment”

How communities are designed and function can promote—or inhibit—physical activity for children and adults. The built environment consists of all man-made structures, including transportation infrastructure, schools, office buildings, housing, and parks. Children’s ability to be physically active in their community depends on whether the community is safe and walkable, with good sidewalks and reasonable distances between destinations.

Research is still emerging on the exact interaction of the built environment and the impact on childhood obesity. Yet, a series of research studies suggests that attributes of our current built environment, such as low density development and sprawl, have had a negative impact on health outcomes, contributing to obesity and related health problems. Several of these studies have found that areas with greater sprawl tend to have higher rates of adult obesity. The combination of greater distances between destinations as development sprawls outward from city centers and the lack of pedestrian and bicycle infrastructure contributes to eliminating walking and biking as options and to increased driving. One-fifth of all automobile trips in urban areas are one mile or less, and over two-fifths of these trips are under three miles, distances easily walked or biked if the proper infrastructure were available. Low-income communities in particular often have a higher number of busy through streets, poor cycling and pedestrian infrastructure, and few high-quality parks and playgrounds—all elements which seem to deter physical activity.
On the other hand, communities that emphasize pedestrian-friendly design have the potential to bring about better health outcomes. Such designs help decrease automobile travel, increase opportunities for physical activity, enhance public safety, and improve air quality, while simultaneously preserving agricultural and other environmentally fragile areas. Research suggests that doubling residential density across a metropolitan area might lower household vehicle miles traveled by about 5 to 12%, and perhaps by as much as 25%, if coupled with other measures.

Creating new walkable, bikable communities can be feasible, but retrofitting the vast majority of existing American communities poses a separate challenge. This includes revitalizing older, traditional neighborhoods, often found in center cities or towns, to make them more viable, active communities. It also includes retrofitting newer sprawling communities to diversify their transportation options, creating a more walkable street grid.

Before undertaking any major new development or planning initiatives, communities may consider completing an assessment of the potential health impacts of the development. For example, Health Impact Assessments (HIAs) describe a combination of procedures, methods, and tools used to judge a policy or project’s potential public health effects and the distribution of those effects within the population. HIAs can be used to focus decision-makers’ attention on the health consequences of the projects and policies they are considering, particularly how land use decisions may impede or improve physical activity.

The existence of safe, convenient, and accessible facilities for walking and biking are likely to increase physical activity and make parents feel more secure about their children’s safety. However, they do not by themselves ensure more active lifestyles for residents of such communities. “Social environments” also play a role, including how community members feel about their neighborhood, how secure they feel, and how interested they are in participating in community-based physical activity. Evidence suggests that the combined effect of the built and social environment has an impact on rates of childhood obesity and overweight. A recent study found that:

- Children living in unsafe neighborhoods or those characterized by poor housing and the presence of garbage and litter on streets had an approximately 30-60% higher chance of being obese or overweight than children living in better conditions.
- Children with low neighborhood amenities or those lacking neighborhood access to sidewalks or walking paths, parks or playgrounds, or recreation or community centers had 20-45% higher odds of becoming obese or overweight compared to children who had access to these amenities.
- The impact of the built environment was particularly strong for younger children (ages 10-11) and for girls. Girls ages 10-11 living in neighborhoods with the fewest amenities had 121-276% higher adjusted odds of being obese or overweight than those living in neighborhoods with the most amenities.
In particular, violence shapes our everyday decisions about where to live, work, go to school, shop for groceries, play, and whether go for a walk in the neighborhood or to a local park. In one study, people who classified their neighborhood as “not at all safe” were three times more likely to be physically inactive during leisure time than those who considered their neighborhood to be “extremely safe.” Another study also found that walking habits vary according to an individual’s perception of safety and physical surroundings. For example, fear may lead someone to shop at a nearby convenience store, which may only contain unhealthy food, instead of walking further to a farmers market, or a grocery store that has healthy produce options. Effective public safety measures, such as community based anti-crime and anti-gang initiatives, can reduce fear of crime and violence. Where possible, such efforts should be targeted on specific “hot spots” for crime and violence that impede access to parks, playgrounds, and other recreational facilities, as well as routes to healthy eating options.

“Active Transport”: Safe Routes to School and Beyond

Active transport refers to approaches that encourage individuals to actively travel between their destinations throughout the day, such as by biking or walking. Children who walk or bike to school report being more physically active, including engaging in more moderate to vigorous physical activity, than those who travel by car, bus, or train.

Programs like Safe Routes to Schools (SRTS), funded by the U.S. Department of Transportation (DOT), have proven an effective way to get students safely walking and biking to school. Serving students in grades K-8, the SRTS program supports capital investments, such as building sidewalks, crosswalks, creating better community designs, and providing other supports for active transport. Nearly 6,500 schools are participating in the federal SRTS program, which has provided $612 million for this purpose since 2005. SRTS helped and continues to help increase the number of students walking to school and decrease those being driven to school. A study of SRTS sites in California showed a 38% increase in students walking to school.

Even without dedicated funding, some communities have found creative ways to make safe passages for young people between homes and neighborhoods, schools, and after school activities. For elementary students, the “walking school bus” has been a successful model, in which adults walk to school with a group of students. For older students, creative partnerships with police departments have helped students travel between school and afterschool activities safely in some communities.

Still, in 2009, only 13% of students rode a bike or walked to school, down from 44% in 1969. Similarly, the percentage of students riding a school bus has also declined and more students report coming to school by personal vehicle than other methods. Parents cite many barriers to “active transport,” commonly referencing distance to school, traffic-related danger, and weather. In the same survey, 12% of parents cited fear of crime as a barrier. Six percent of parents also cited school policies that prohibit walking and biking to school as the reason their children did not walk or bike to school. Bike and pedestrian safety is a real concern as well. In 2007, 14,000 children were injured and 300 killed by cars. There is a “safety in numbers” trend, in which roadways generally become safer for everyone when more people are out walking and biking.
V. INCREASING PHYSICAL ACTIVITY

Recommendations

Recommendation 5.8: Reauthorize a Surface Transportation Act that enhances livability and physical activity. A complete network of safe bicycle and pedestrian facilities would allow children to take more trips through active transportation and get more physical activity. New Federal aid construction projects should accommodate bicyclists and pedestrians by incorporating "Complete Streets" principles. As improvement projects for existing facilities are undertaken, transportation infrastructure should be retrofitted, where feasible, to support and encourage bicycle and pedestrian use. State and local money can also be leveraged to support safe facilities for children to walk or bike to places like parks, playgrounds, transit, and community centers. The reauthorization could adopt Complete Streets principles that would include routine accommodation of walkers and bicyclists for new construction, to influence retrofitting of existing communities, and to support public transportation. In addition, it could enhance authority for recreational areas on public lands.

Recommendation 5.9: The Environmental Protection Agency should assist school districts that may be interested in siting guidelines for new schools that consider the promotion of physical activity, including whether students will be able to walk or bike to school.

Recommendation 5.10: Communities should be encouraged to consider the impacts of built environment policies and regulations on human health. Local communities should consider integrating Health Impact Assessments (HIAs) into local decision-making processes, and the Federal government should continue to support the development of an HIA approach, tools, and supporting resources that promote best practices.
**Recommendation 5.11:** The Federal Safe Routes to School Program (SRTS) should be continued and enhanced to accommodate the growing interest in implementing Safe Routes to Schools plans in communities. This can be facilitated by:

- Continuing aspects of current law, including requiring that every State maintain a full-time SRTS Coordinator, spending funds on both infrastructure projects and non-infrastructure activities, and continuing to operate the National Clearinghouse.
- Continuing Federal investments to increase opportunities for SRTS participation.
- Streamlining Federal requirements and reducing administrative burden for grants management to fit with the unique nature of SRTS projects and programs.
- Expanding funding eligibility to cover kindergarten through 12th grade.
- Developing improved surveillance and validated measures for tracking participation in SRTS and its impacts.

**Recommendation 5.12:** “Active transport” should be encouraged between homes, schools, and community destinations for afterschool activities, including to and from parks, libraries, transit, bus stops, and recreation centers.

**Benchmarks of Success**

Increase by 50% by 2015 the percentage of children ages 5-18 taking safe walking and biking trips to and from school. An increase of 50% would mean that 19.5% of school trips would be by biking or walking. This data is available from the National Household Travel Survey, which is conducted every five to seven years, so there may be a delay in this data becoming available.

**D. Community Recreation Venues**

**Parks and Playgrounds**

Parks and playgrounds in a community can provide opportunities to run and play and may increase unstructured physical activity. If children can easily access safe parks and playgrounds in good repair, they are more likely to engage in recreational physical activity there.

National, state, and local parks are an ideal environment to be physically active, and increased access to parks is proven to promote physical activity among children and adolescents. In addition to encouraging physical activity, parks and other natural landscapes can provide recreational experiences, opportunities to learn and grow, and places of quiet refuge. The Federal government provides support for state and local conservation and recreation initiatives through the Land and Water Conservation Fund (LWCF) State Assistance Program. The goal of this program is to increase access to a park, a river, or an area of open space close to home. As part of this initiative, funds can be used to enhance and support the further development of parks and playgrounds in communities. Other Federal programs provide funding to States and local entities for park and open space conservation and recreation trails and shared use paths, including HUD’s Community Development Block Grant program and DOT’s Transportation Enhancement program.
Private, nonprofit organizations and corporations have also supported park and playground development in communities. By engaging in park clean-ups and playground development, businesses can take part in initiatives that contribute to community cohesion and improve access to playgrounds and parks.

**Outdoor and Indoor Venues**

Outdoor recreation and access to nature play a vital role in the physical, psychological, spiritual well-being, health, and development of people of all ages. The current poor health of many American children today, including increasing levels of obesity-related illnesses, attention deficit-hyperactivity, vitamin D deficiency, and myopia are being attributed, in part, to a generational decline in the level of outdoor recreation in natural environments.

Children's level of physical activity has been shown to increase when they participate in environmental education programs that promote outdoor activity. Children of all ages are healthier, happier, and have better social skills if they have frequent opportunities for free and unstructured play outdoors. For these reasons, children need to be encouraged to connect with the outdoors—places that can promote both physical and emotional health.

Communities still need to ensure adequate and accessible indoor facilities for physical activity. For students who are unable to play outside because of allergies or asthma, particularly during high-pollution days or inclement weather, communities should make sure indoor recreational facilities are available to children. Currently, 65% of schools allow for community use of physical activity or athletic facilities, a strategy that can increase opportunities for indoor activity.

Communities also must ensure that children actually are aware of the opportunities available to them. The Task Force for Community Preventive Services found that informational outreach is essential to maximizing the results of improvements in access to physical activity. In some communities, outreach was unnecessary, but in others, outreach and communications was needed.

**Recommendations**

**Recommendation 5.13: Increase the number of safe and accessible parks and playgrounds, particularly in underserved and low-income communities.** This can be accomplished in part by:

- Targeting LWCF funds to increase use of and access to parks and open space in low-income neighborhoods and communities that receive funding, and by expanding Tribes’ access to funding and strengthening their capacity to compete for funding.
- Businesses considering “adopting” (building and/or helping to maintain) parks and playgrounds in their communities.
- Foundations, community and faith-based organizations, and businesses engaging in community planning efforts to ensure their work in developing parks and playgrounds are enhanced and maintained over time, and are supportive of other community initiatives.
- Encourage development or renovation of playgrounds to include less asphalt and more natural terrain, so as to foster unstructured, “natural” play.
**Recommendation 5.14: The Federal government should continue to support investments in a wide range of outdoor recreation venues, such as National Parks, Forests, Refuges and other public lands, and expand opportunities for children to enjoy these venues.** The U.S. Department of Interior and the National Forest Service maintain hundreds of millions of acres of public land, as well as rivers, parks, and other areas.

- Federal land management agencies should work together along with state, Tribal and local agencies to promote and ensure access to a range of youth-appropriate activities on public lands and waters. This includes transportation to help children get to and from parks and other public lands.
- Federal agencies should work with non profits, corporations, and local youth groups to strengthen partnerships and identify new opportunities for outdoor physical activities, programs, and events.

**Recommendation 5.15: Local governments should be encouraged to enter into joint use agreements to increase children’s access to community sites for indoor and outdoor recreation.**

**Recommendation 5.16: The business sector should be encouraged to consider which resources and physical assets like fields and gyms can be used to increase students’ access to outdoor and indoor recreational venues.** Corporations, for example, may have large grounds that they can make available for children in the community to play soccer or engage in other outdoor activities.

**Recommendation 5.17: Entertainment and technology companies should continue to develop new approaches for using technology to engage children in physical activity.**

**Benchmarks of Success**

Increase access to, use of, and the number of safe and accessible parks and playgrounds, particularly in underserved and low-income communities. Partial data sources exist in the private sector to measure this, but work will need to be done to develop those sources and measure progress.
Key Questions for Future Research

• What are the teaching methods that best engage students in PE, including the relative benefits of technology in the PE classroom, for achieving long-term behavioral changes in physical activity habits?

• What kinds of outdoor activities for children are most likely to produce lasting increases in physical activity levels? Are the benefits different from indoor physical activity?

• How can we better target physical activity interventions to multiple communities, including diverse ethnic populations and children with disabilities? What are the most effective methods for reaching these populations?

• What are appropriate measurement tools for tracking trends in physical activity?

• Do health impact assessments change health outcomes in communities where they are used?

• What percentage of children live within walking or biking distance from school or a park entrance?

• Does the built environment increase physical activity rates for children and reduce obesity? If so, what are the mechanisms for this reduction?

• How can the insights of behavioral economics, such as changing the default choice in a given situation, be used to promote physical activity among young people?
Conclusion

Taken together, the strategies and tactics outlined in this report should achieve the goal of solving the problem of childhood obesity within a generation. It will not be easy, and it will take action on all of our parts — parents and teachers, leaders in government and industry, and communities large and small. But at the core of this endeavor is a simple concept we all embrace, which is that children should have good, nutritious food to eat and the chance to be physically active every day, so that they grow up into healthy adults.

The next step is to turn these ideas into action. Many partners stand ready to make this happen, and have already volunteered to be part of this effort by joining the First Lady’s Let’s Move! campaign. Philanthropies will play a critical role in cementing private sector commitments to make concrete steps towards our shared goal, including but not limited to the action steps recommended in this report. And the Federal government must play a leadership role as well. The Task Force on Childhood Obesity and its 12 member agencies stand ready to take up that charge. Every day, approximately 11,000 children are born in America. We owe them our very best effort.
## Summary of Recommendations

### I. Early Childhood

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<td>Recommendation 1.1: Pregnant women and women planning a pregnancy should be informed of the importance of conceiving at a healthy weight and having a healthy weight gain during pregnancy, based on the relevant recommendations of the Institute of Medicine.</td>
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<td>Recommendation 1.2: Education and outreach efforts about prenatal care should be enhanced through creative approaches that take into account the latest in technology and communications. Partners in this effort could include companies that develop technology-based communications tools, as well as companies that market products and services to pregnant women or prospective parents.</td>
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<td>Recommendation 1.3: Hospitals and health care providers should use maternity care practices that empower new mothers to breastfeed, such as the Baby-Friendly hospital standards.</td>
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<td>Recommendation 1.4: Health care providers and insurance companies should provide information to pregnant women and new mothers on breastfeeding, including the availability of educational classes, and connect pregnant women and new mothers to breastfeeding support programs to help them make an informed infant feeding decision</td>
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<tr>
<td>Recommendation 1.5: Local health departments and community-based organizations, working with health care providers, insurance companies, and others should develop peer support programs that empower pregnant women and mothers to get the help and support they need from other mothers who have breastfed</td>
<td>x</td>
<td>x</td>
<td>x</td>
<td>16</td>
</tr>
<tr>
<td>Recommendation 1.6: Early childhood settings should support breastfeeding</td>
<td>x</td>
<td>x</td>
<td>x</td>
<td>16</td>
</tr>
<tr>
<td>Recommendation 1.7: Federal and State agencies conducting health research should prioritize research into the effects of possibly obesogenic chemicals.</td>
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<tr>
<td>Recommendation</td>
<td>Description</td>
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<tr>
<td>1.8</td>
<td>The AAP guidelines on screen time should be made more available to parents, and young children should be encouraged to spend less time using digital media and more time being physically active.</td>
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</tr>
<tr>
<td>1.9</td>
<td>The AAP guidelines on screen time should be made more available in early childhood settings.</td>
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</tr>
<tr>
<td>1.10</td>
<td>The Federal government, incorporating input from health care providers and other stakeholders, should provide clear, actionable guidance to states, providers, and families on how to increase physical activity, improve nutrition, and reduce screen time in early child care settings.</td>
<td>X</td>
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</tr>
<tr>
<td>1.11</td>
<td>States should be encouraged to strengthen licensing standards and Quality Rating and Improvement Systems to support good program practices regarding nutrition, physical activity, and screen time in early education and child care settings.</td>
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</tr>
<tr>
<td>1.12</td>
<td>The Federal government should look for opportunities in all early childhood programs it funds (such as the Child and Adult Care Food Program at USDA, the Child Care and Development Block Grant, Head Start, military child care, and Federal employee child care) to base policies and practices on current scientific evidence related to child nutrition and physical activity, and seek to improve access to these programs.</td>
<td>X</td>
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<td>Recommendation</td>
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<tr>
<td>Recommendation 2.1: The Federal government, working with local communities, should disseminate information about the 2010 Dietary Guidelines for Americans through simple, easily actionable messages for consumers and a next generation Food Pyramid.</td>
<td>x</td>
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</tr>
<tr>
<td>Recommendation 2.2: The FDA and USDA’s Food Safety and Inspection Service should collaborate with the food and beverage industry to develop and implement a standard system of nutrition labeling for the front of packages</td>
<td>x</td>
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<tr>
<td>Recommendation 2.3: Restaurants and vending machine operators subject to the new requirement in the Affordable Care Act should be encouraged to begin displaying calorie counts as soon as possible</td>
<td>x</td>
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<tr>
<td>Recommendation 2.4: Restaurants should consider their portion sizes, improve children’s menus, and make healthy options the default choice whenever possible.</td>
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<tr>
<td>Recommendation 2.5: The food and beverage industry should extend its self-regulatory program to cover all forms of marketing to children, and food retailers should avoid in-store marketing that promotes unhealthy products to children.</td>
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<td>32</td>
</tr>
<tr>
<td>Recommendation 2.6: All media and entertainment companies should limit the licensing of their popular characters to food and beverage products that are healthy and consistent with science-based nutrition standards</td>
<td></td>
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<td>32</td>
</tr>
<tr>
<td>Recommendation 2.7: The food and beverage industry and the media and entertainment industry should jointly adopt meaningful, uniform nutrition standards for marketing food and beverages to children, as well as a uniform standard for what constitutes marketing to children.</td>
<td></td>
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<td>32</td>
</tr>
<tr>
<td>Recommendation 2.8: Industry should provide technology to help consumers distinguish between advertisements for healthy and unhealthy foods and to limit their children’s exposure to unhealthy food advertisements.</td>
<td>x</td>
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</tr>
<tr>
<td>Recommendation 2.9: If voluntary efforts to limit the marketing of less healthy foods and beverages to children do not yield substantial results, the FCC could consider revisiting and modernizing rules on commercial time during children’s programming</td>
<td>x</td>
<td>32</td>
<td></td>
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</tr>
<tr>
<td>Recommendation 2.10: Pediatricians should be encouraged to routinely calculate children’s BMI and provide information to parents about how to help their children achieve a healthy weight.</td>
<td>x</td>
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</tr>
<tr>
<td>Recommendation 2.11: Federally-funded and private insurance plans should cover services necessary to prevent, assess, and provide care to overweight and obese children.</td>
<td>x</td>
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</tr>
<tr>
<td>Recommendation 2.12: Dentists and other oral health care providers should be encouraged to promote healthy habits and counsel families on childhood obesity prevention as part of routine preventive dental care</td>
<td>x</td>
<td>35</td>
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</tr>
<tr>
<td>Recommendation 2.13: Medical and other health professional schools, health professional associations, and health care systems should ensure that health care providers have the necessary training and education to effectively prevent, diagnose, and treat obese and overweight children</td>
<td>x</td>
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### III. Healthier Food in Schools

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<tr>
<th>Recommendation</th>
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<th>Private sector action</th>
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<tbody>
<tr>
<td>Recommendation 3.1: Update Federal nutritional standards for school meals and improve the nutritional quality of USDA commodities provided to schools.</td>
<td>x</td>
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<td>39</td>
</tr>
<tr>
<td>Recommendation 3.2: Increase resources for school meals.</td>
<td>x</td>
<td>x</td>
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<td>40</td>
</tr>
<tr>
<td>Recommendation 3.3: USDA should continue its outreach and technical assistance to help provide training for school food service professionals.</td>
<td>x</td>
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</tr>
<tr>
<td>Recommendation 3.4: Schools should consider upgrading their cafeteria equipment to support the provision of healthier foods, for example, by swapping out deep fryers for salad bars.</td>
<td>x</td>
<td>x</td>
<td>x</td>
<td>41</td>
</tr>
<tr>
<td>Recommendation 3.5: USDA should work with all stakeholders to develop innovative ways to encourage students to make healthier choices.</td>
<td>x</td>
<td>x</td>
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<td>41</td>
</tr>
<tr>
<td>Recommendation 3.6: USDA should work to connect school meals programs to local growers, and use farm-to-school programs, where possible, to incorporate more fresh, appealing food in school meals</td>
<td>x</td>
<td>x</td>
<td>x</td>
<td>41</td>
</tr>
<tr>
<td>Recommendation 3.7: Schools should be encouraged to make improvements in their school meal programs through the HealthierUS Schools Challenge in advance of updated Federal standards.</td>
<td>x</td>
<td>x</td>
<td>x</td>
<td>42</td>
</tr>
<tr>
<td>Recommendation 3.8: Increase the alignment of foods sold at school, including in the a la carte lines and vending machines, with the Dietary Guidelines.</td>
<td></td>
<td>x</td>
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<td>43</td>
</tr>
<tr>
<td>Recommendation 3.9: Food companies should be encouraged to develop new products and reformulate existing products so they meet nutritional standards based on the Dietary Guidelines and appeal to children.</td>
<td></td>
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<td>x</td>
<td>43</td>
</tr>
<tr>
<td>Recommendation 3.10: USDA and the U.S. Department of Education should collaborate with states to increase the availability and consistency of nutrition education in schools.</td>
<td>x</td>
<td>x</td>
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<td>44</td>
</tr>
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</table>
SOLVING THE PROBLEM OF CHILDHOOD OBESITY

<table>
<thead>
<tr>
<th>Recommendation 3.11:</th>
<th>Where possible, use school gardens to educate students about healthy eating.</th>
<th>x</th>
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<th>45</th>
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<tbody>
<tr>
<td>Recommendation 3.12:</td>
<td>Technical assistance should be provided to schools about how to a cafeteria and lunch room environment can support and encourage a healthful meal</td>
<td>x</td>
<td>45</td>
<td></td>
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<tr>
<td>Recommendation 3.13:</td>
<td>Schools should be encouraged to ensure that choosing a healthy school meal does not have a social cost for a child</td>
<td>x</td>
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<tr>
<td>Recommendation 3.14:</td>
<td>Schools should be encouraged to consider the impact of food marketing on education.</td>
<td>x</td>
<td>x</td>
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</tr>
<tr>
<td>Recommendation 3.15:</td>
<td>School districts should be encouraged to create, post, and implement a strong local school wellness policy.</td>
<td>x</td>
<td>x</td>
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</tr>
<tr>
<td>Recommendation 3.16:</td>
<td>Promote good nutrition through afterschool programs.</td>
<td>x</td>
<td>x</td>
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</tr>
<tr>
<td>Recommendation 3.17:</td>
<td>Promote healthy behaviors in juvenile correctional and related facilities.</td>
<td>x</td>
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## IV. Access to Healthy, Affordable Food

<table>
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<tr>
<th>Recommendation</th>
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<tbody>
<tr>
<td>Recommendation 4.1: Launch a multi-year, multi-agency Healthy Food Financing Initiative to leverage private funds to increase the availability of affordable, healthy foods in underserved urban and rural communities across the country.</td>
<td>x</td>
<td>x</td>
<td>x</td>
<td>53</td>
</tr>
<tr>
<td>Recommendation 4.2: Local governments should be encouraged to create incentives to attract supermarkets and grocery stores to underserved neighborhoods and improve transportation routes to healthy food retailers.</td>
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<td>54</td>
</tr>
<tr>
<td>Recommendation 4.3: Food distributors should be encouraged to explore ways to use their existing distribution chains and systems to bring fresh and healthy foods into underserved communities.</td>
<td>x</td>
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<td>54</td>
</tr>
<tr>
<td>Recommendation 4.4: Encourage communities to promote efforts to provide fruits and vegetables in a variety of settings and encourage the establishment and use of direct-to-consumer marketing outlets such as farmers’ markets and community supported agriculture subscriptions.</td>
<td></td>
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</tr>
<tr>
<td>Recommendation 4.5: Encourage the establishment of regional, city, or county food policy councils to enhance comprehensive food system policy that improve health</td>
<td></td>
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<td>54</td>
</tr>
<tr>
<td>Recommendation 4.6: Encourage publicly and privately-managed facilities that serve children, such as hospitals, afterschool programs, recreation centers, and parks (including national parks) to implement policies and practices, consistent with the Dietary Guidelines, to promote healthy foods and beverages and reduce or eliminate the availability of calorie-dense, nutrient-poor foods</td>
<td>x</td>
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<td>x</td>
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</tr>
<tr>
<td>Recommendation 4.7: Provide economic incentives to increase production of healthy foods such as fruits, vegetables, and whole grains, as well as create greater access to local and healthy food for consumers.</td>
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<td>59</td>
</tr>
<tr>
<td>Recommendation 4.8: Demonstrate and evaluate the effect of targeted subsidies on purchases of healthy food through nutrition assistance programs.</td>
<td>x</td>
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<td>59</td>
</tr>
<tr>
<td>Recommendation 4.9: Analyze the effect of state and local sales taxes on less healthy, energy-dense foods</td>
<td>x</td>
<td>x</td>
<td>59</td>
<td></td>
</tr>
<tr>
<td>Recommendation 4.10: The food, beverage, and restaurant industries should be encouraged to use their creativity and resources to develop or reformulate more healthful foods for children and young people</td>
<td></td>
<td>x</td>
<td>60</td>
<td></td>
</tr>
<tr>
<td>Recommendation 4.11: Increase participation rates in USDA nutrition assistance programs through creative outreach and improved customer service, state adoption of improved policy options and technology systems, and effective practices to ensure ready access to nutrition assistance program benefits, especially for children.</td>
<td>x</td>
<td>x</td>
<td>62</td>
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</table>
V. Increasing Physical Activity

<table>
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<tr>
<th>Recommendation</th>
<th>Federal action</th>
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<th>Private sector action</th>
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<tr>
<td>Recommendation 5.1: Developers of local school wellness policies should be encouraged to include strong physical activity components, on par with nutrition components.</td>
<td></td>
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<td>73</td>
</tr>
<tr>
<td>Recommendation 5.2: The President’s Challenge should be updated to ensure consistency with the Physical Activity Guidelines for Americans and to ensure ease of use and implementation by schools. Private sector partners with an interest in physical activity should help enroll children in the Presidential Active Lifestyle Award program</td>
<td>x</td>
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<td>73</td>
</tr>
<tr>
<td>Recommendation 5.3: State and local educational agencies should be encouraged to increase the quality and frequency of sequential, age- and developmentally-appropriate physical education for all students, taught by certified PE teachers</td>
<td>x</td>
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<td>73</td>
</tr>
<tr>
<td>Recommendation 5.4: State and local educational agencies should be encouraged to promote recess for elementary students and physical activity breaks for older students, and provide support to schools to implement recess in a healthy way that promotes physical activity and social skill development.</td>
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</tr>
<tr>
<td>Recommendation 5.5: State and local educational agencies should be encouraged to provide opportunities in and outside of school for students at increased risk for physical inactivity, including children with disabilities, children with asthma and other chronic diseases, and girls</td>
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<td>74</td>
</tr>
<tr>
<td>Recommendation 5.6: Federal, state, and local educational agencies, in partnership with communities and businesses, should work to support programs to extend the school day, including afterschool programs, which offer and enhance physical activity opportunities in their programs</td>
<td>x</td>
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</tr>
<tr>
<td>Recommendation 5.7: State and local educational agencies should be encouraged to support interscholastic sports and help decrease prohibitive costs of sports by curbing practices such as “pay-to-play,” working with other public and private sector partners.</td>
<td>x</td>
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<td>x</td>
<td>78</td>
</tr>
<tr>
<td>Recommendation 5.8: Reauthorize a Surface Transportation Act that enhances livability and physical activity.</td>
<td>x</td>
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<td>81</td>
</tr>
<tr>
<td>Recommendation 5.9: The Environmental Protection Agency should assist school districts that may be interested in siting guidelines for new schools that consider the promotion of physical activity, including whether students will be able to walk or bike to school</td>
<td>x</td>
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<tr>
<td>Recommendation 5.10: Communities should be encouraged to consider the impacts of built environment policies and regulations on human health.</td>
<td>x</td>
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<tr>
<td>Recommendation 5.11: The Federal Safe Routes to School Program (SRTS) should be continued and enhanced to accommodate the growing interest in implementing Safe Routes to Schools plans in communities.</td>
<td>x</td>
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<tr>
<td>Recommendation 5.12: “Active transport” should be encouraged between homes, schools, and community destinations for afterschool activities, including to and from parks, libraries, transit, bus stops, and recreation centers.</td>
<td>x</td>
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<td>82</td>
<td></td>
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<tr>
<td>Recommendation 5.13: Increase the number of safe and accessible parks and playgrounds, particularly in underserved and low-income communities</td>
<td>x</td>
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<td>83</td>
</tr>
<tr>
<td>Recommendation 5.14: The Federal government should continue to support investments in a wide range of outdoor recreation venues, such as National Parks, Forests, Refuges and other public lands, and expand opportunities for children to enjoy these venues.</td>
<td>x</td>
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</tr>
<tr>
<td>Recommendation 5.15: Local governments should be encouraged to enter into joint use agreements to increase children's access to community sites for indoor and outdoor recreation</td>
<td>x</td>
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<td>84</td>
<td></td>
</tr>
<tr>
<td>Recommendation 5.16: The business sector should be encouraged to consider which resources and physical assets like fields and gyms can be used to increase students' access to outdoor and indoor recreational venues</td>
<td>x</td>
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<tr>
<td>Recommendation 5.17: Entertainment and technology companies should continue to develop new approaches for using technology to engage children in physical activity</td>
<td>x</td>
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<td>84</td>
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</tbody>
</table>
Endnotes


10. BMI is calculated by dividing a person's weight in kilograms by the height in meters squared.


ENDNOTES


86. Centers for Disease Control and Prevention, Division of Nutrition, Physical Activity and Obesity, National Center for Chronic Disease Prevention and Health Promotion. (2008). Infant Feeding Practices Study II. Pediatrics, 122 (Special Issue Supplement).


113. *Caring for our Children: National Health and Safety Performance Standards* is published by the National Resource Center for Health and Safety in Child Care and Early Education. The National Resource Center is funded by the Maternal and Child Health Bureau, U.S. Department of Health & Human Services, HRSA.


120. On March 3, 2010, FDA Commissioner issued an open letter to industry on the importance of accurate nutrition labeling of food products, and the agency issued Warning Letters to 17 manufacturers informing the firms that the labeling for 22 of their food products violate provisions of the Federal Food, Drug, and Cosmetic Act that require labels to be truthful and not misleading.


140. Harris, J., et al. (2009). Marketing Foods to Children and Adolescents: Licensed Characters and Other Promotions on Packaged Foods in the Supermarket. Public Health Nutrition, 13(3), 409-417. This recent study by the Yale University Rudd Center for Food Policy and Obesity found a substantial increase (78%) in the number of food products with youth-oriented cross-promotions on packaging between 2006 and 2008. Nearly 75% of those cross-promotions involved the use of licensed characters.


143. Effective January 1, 2010, this principle was expanded to apply to advertising through video games and cell phones, as well as word-of-mouth advertising. Children's Food and Beverage Advertising Initiative. (n.d.). Retrieved from http://www.bbb.org/us/enhanced-core-principles/.


149. Letter from Maureen Enright, Assistant Director, CFBAI, and Elaine D. Kolish, Vice President and Director, CFBAI, to Marlene H. Dortch, Secretary, FCC, dated March 26, 2010, in MB Docket 09-194.

150. For example, the Walt Disney Company, Nickelodeon, Cartoon Network, and Sesame Workshop.


155. FTC has played an important role in addressing issues of food marketing, childhood nutrition, and obesity. Since 2005, it has hosted three public workshops and issued two reports, including its landmark 2008 report to Congress: Marketing Food to Children and Adolescents: A Review of Industry Expenditures, Activities, and Self-Regulation. FTC is currently preparing to conduct a follow up study, expected to be published in 2011, and is participating in the IWG to develop recommendations for voluntary uniform nutritional standards for child-directed food and beverage marketing.


218. Based on the seasonally adjusted Consumer Price Index (CPI) for all Urban Consumers in January 1978 and December 2009. The CPI for fruits and vegetables increased from 66.1 to 269.4 over this period; the CPI for carbonated drinks increased from 67.6 to 153.6.


224. Based on USDA Economic Research Service comparison of prices paid by consumers for food with prices received by farmers. Price Spreads from Farm to Consumer. (n.d.). Retrieved from: [http://www.ers.usda.gov/Data/FarmToConsumer](http://www.ers.usda.gov/Data/FarmToConsumer). For processed products the farm value share is even smaller. For example, Economic Research Service data show that the farm value share of corn syrup is only 3.


SOLVING THE PROBLEM OF CHILDHOOD OBESITY


